

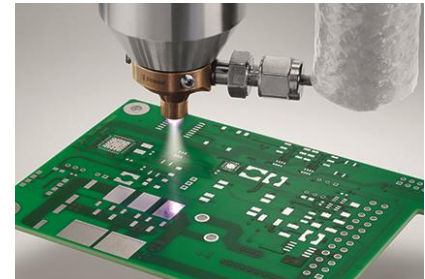
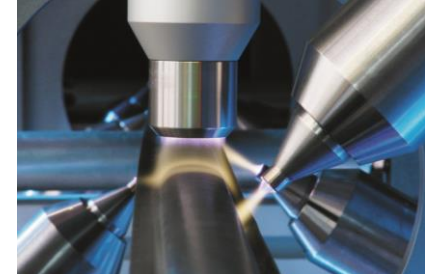
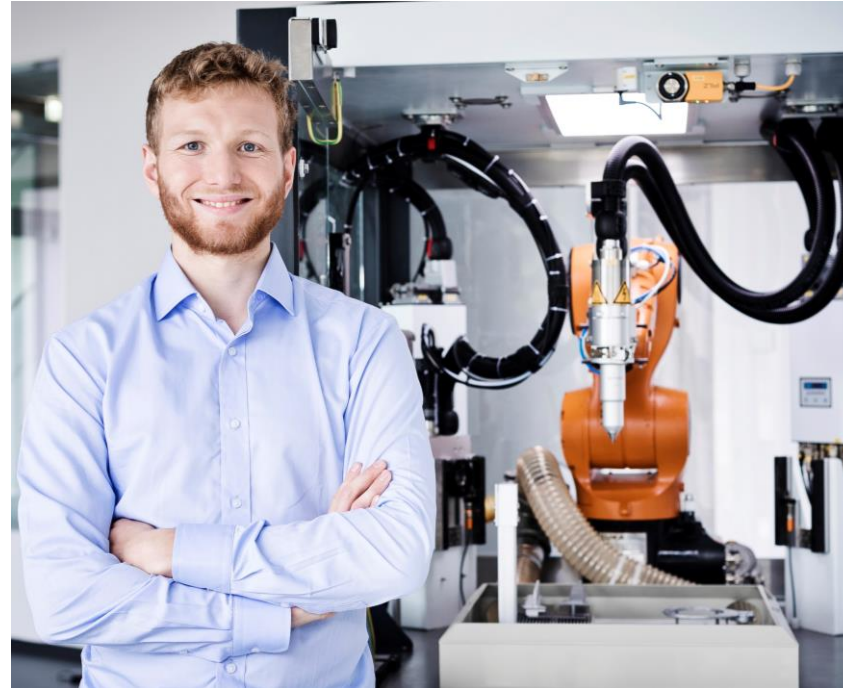
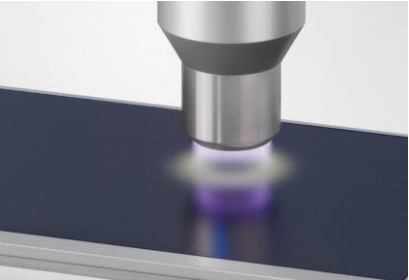


# **AutoProtect Webinar-Series # 2**

## **Regulatory Requirements for Antimicrobial Surfaces**

### **Plasma-based antimicrobial coatings**





We are surface specialists and leading supplier for atmospheric plasma technology as well as experts for low pressure plasma processes

# Market leader in Openair-Plasma® Technology



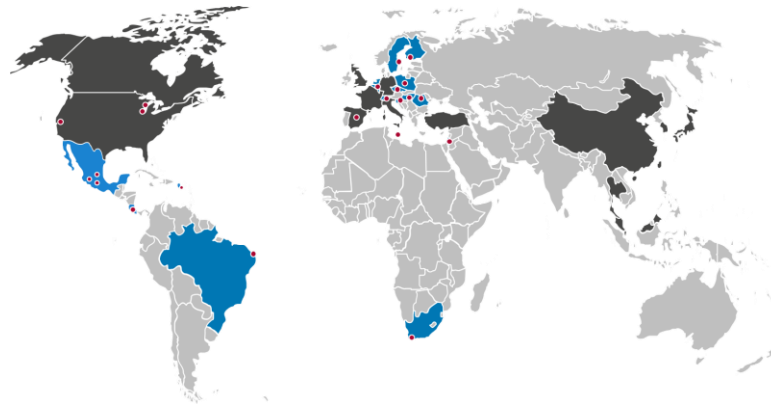
## Global Presence

- HQ in Germany
- Production sites in Germany, China & USA
- 17 subsidiaries & Technology Centers in 11 Countries
- 15+ agents in ROW



## Family Owned Business

- Founded in 1995
- 225 employees worldwide
- Consolidated turnover: €45 Million



## Milestones

- 1995: Invention of Openair-Plasma®
- 2007: PlasmaPlus® coating
- 2019: Opening of the HQ Technology Center
- 2020: Plasmatreat Academy

# What is Plasma?

Plasma is formed when additional energy is supplied to the gas by electrical discharge. Plasma is an ionized gas with electrical conductivity which is electrically neutral. It is also called 4<sup>th</sup> state of matter.



ENERGY →



ENERGY →



ENERGY →

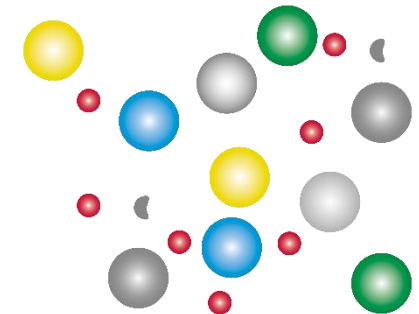
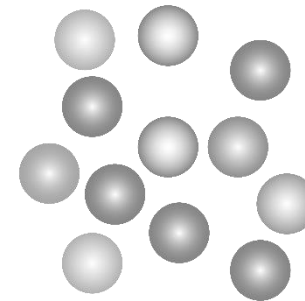
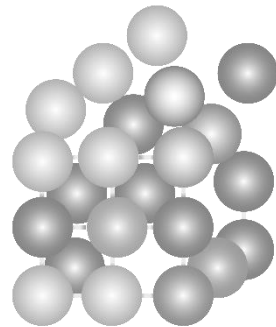
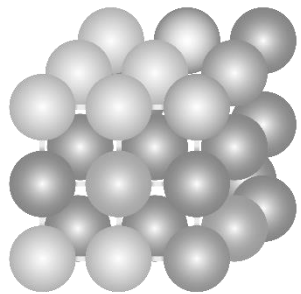


SOLID

LIQUID

GAS

PLASMA



● Molecule

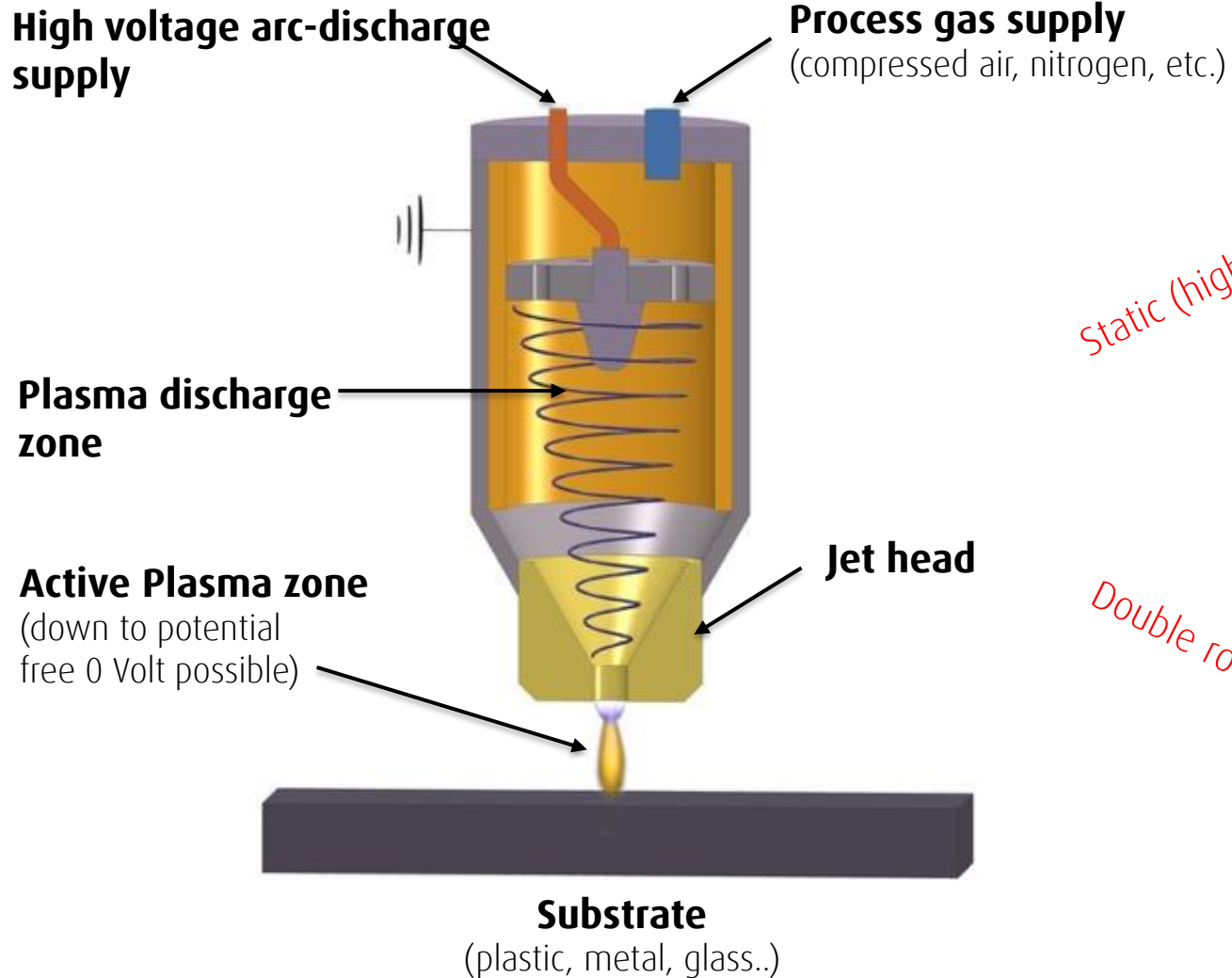
● Excited Gas-Molecule

●● Ion's

● Free Electron's

● Excited Molecule-Fragment's

# Operating principle Openair-Plasma®



*Static (higher speed) →*



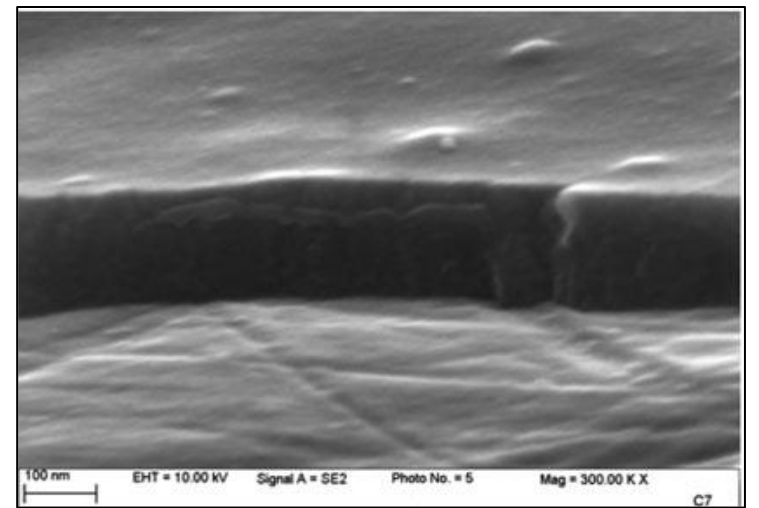
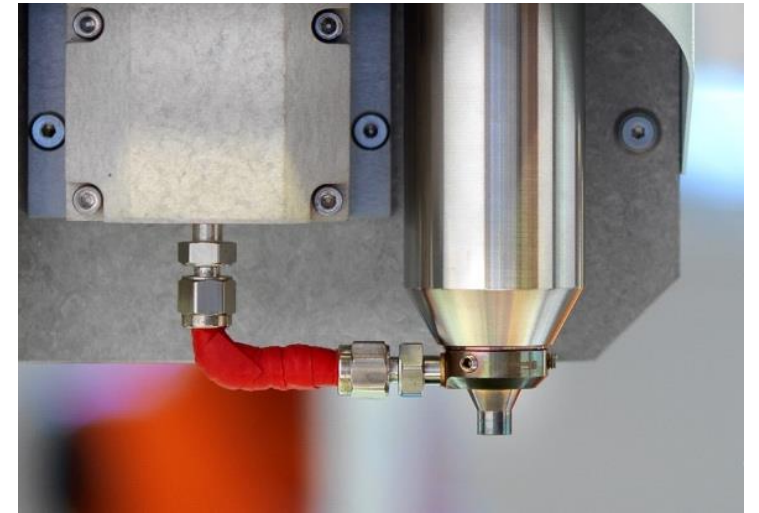
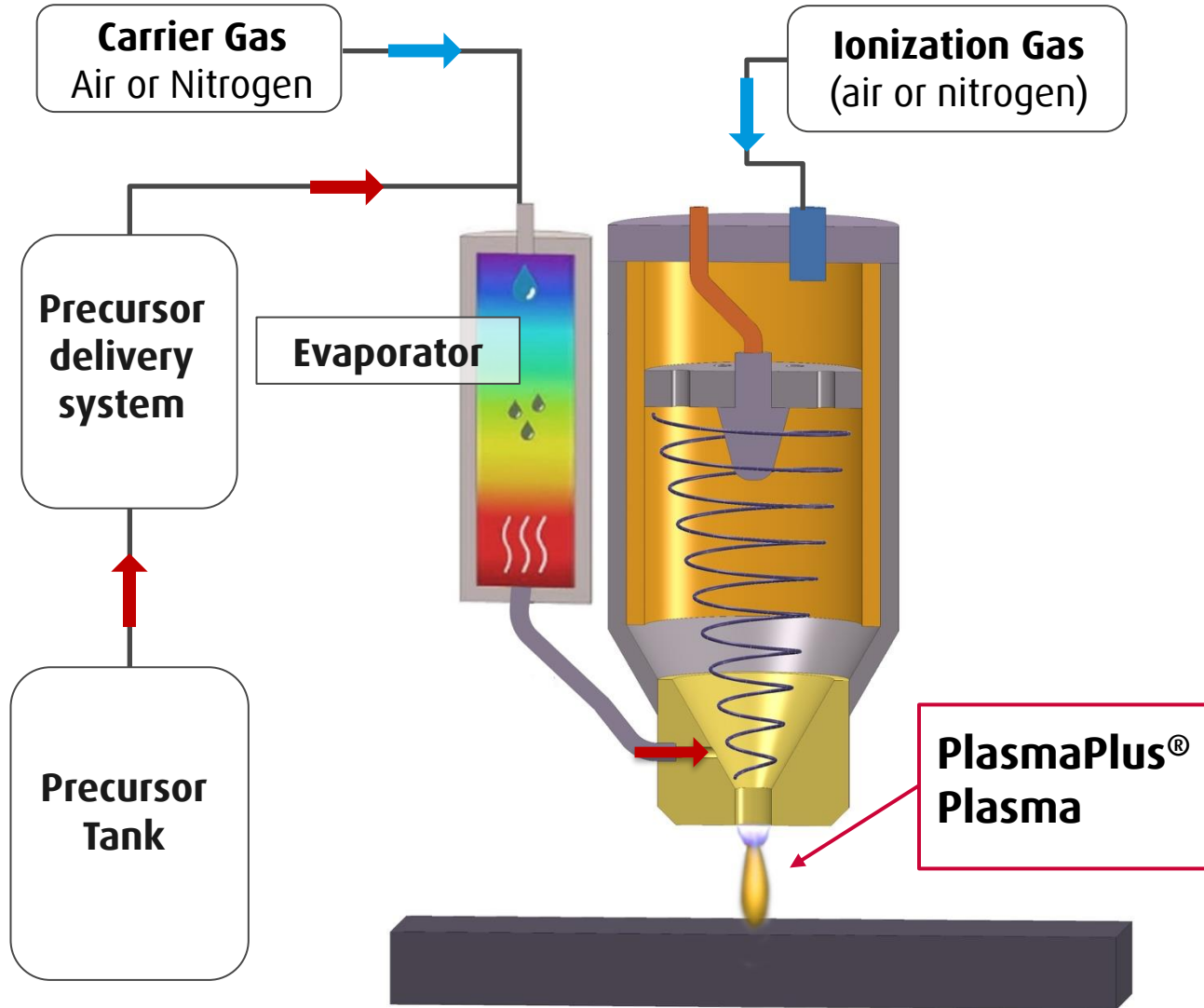
*Rotating (larger width) →*



*Double rotating (largest width) →*

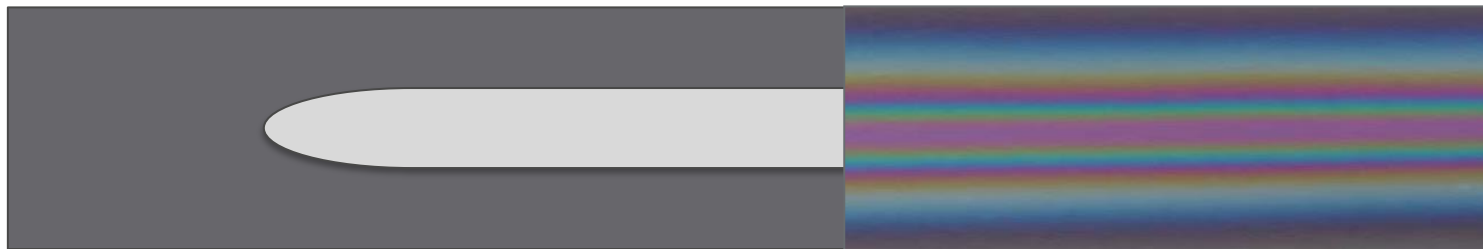
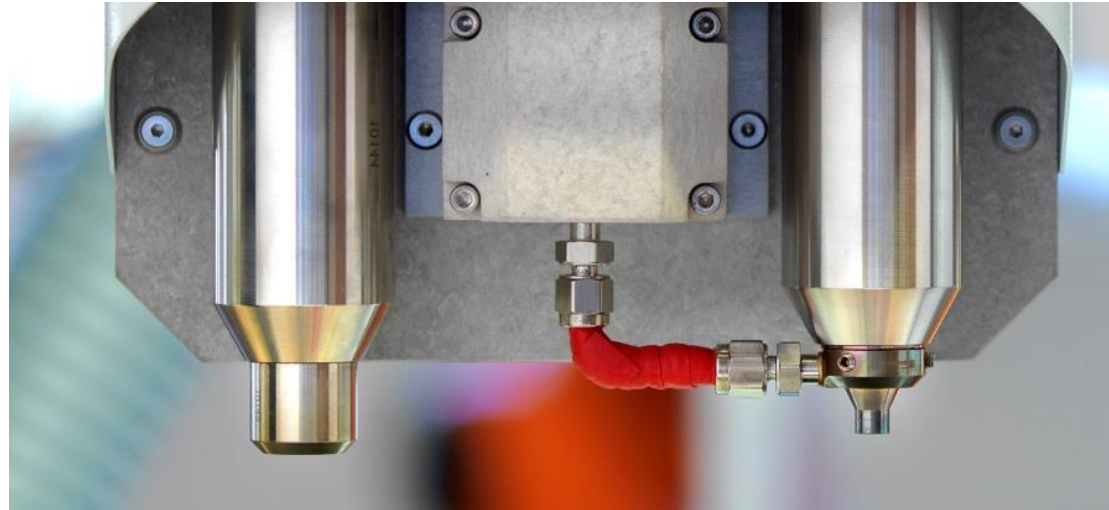


# Operating principle PlasmaPlus®



*SiO<sub>x</sub> films grown on stainless steel substrates*  
Thickness deposited : 150 nm  
Deposition rate: 920 nm/s

# Openair-Plasma® and PlasmaPlus® processes combination



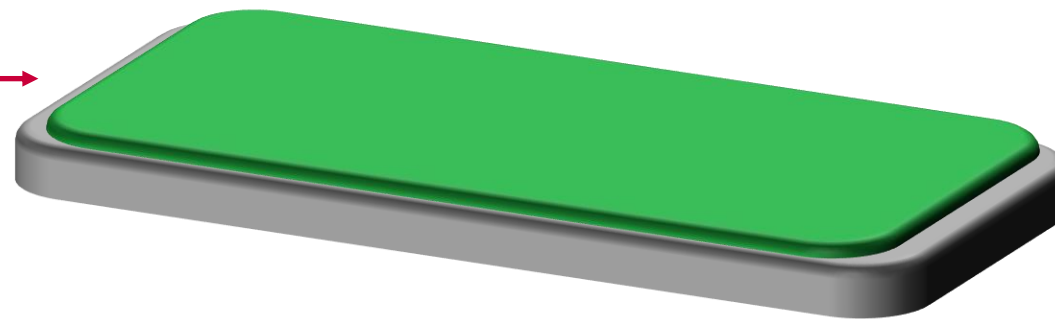
1. Step: Cleaning / Activation

2. Step: Coating

**toward Plasma-based antimicrobial coatings...**

# Goal definition

Plasma deposited  
coatings



Substrate : Glass, Metal, Polymer, Ceramic...

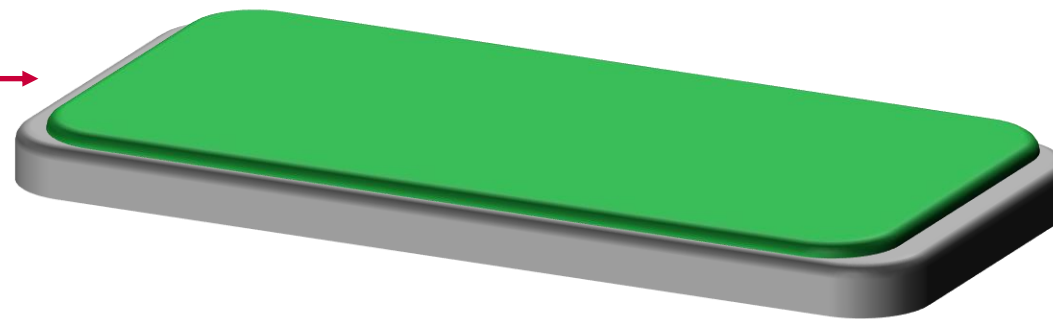
From Wfk Autoprotect presentation - 27.11.2017



## Goal definition

Piezo-electric excitation  
Pressure of  $<0,01$  MPa  
Contact pressure, wind, water

Plasma deposited  
coatings



Substrate : Glass, Metal, Polymer, Ceramic...

From Wfk Autoprotect presentation - 27.11.2017

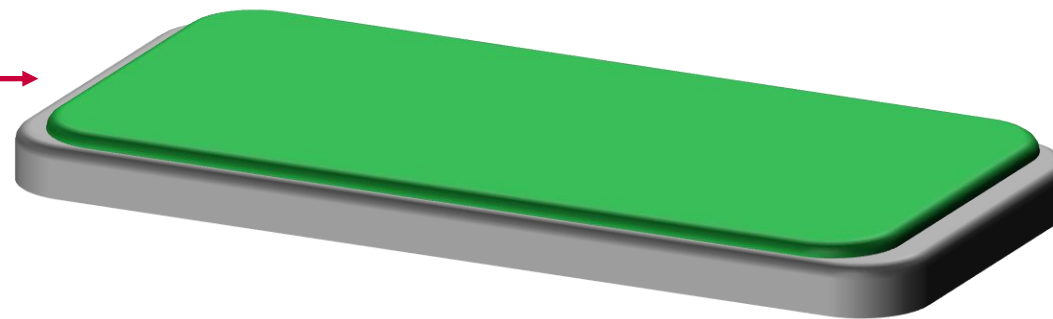
## Goal definition

Piezo-electric excitation  
Pressure of  $<0,01$  MPa  
Contact pressure, wind, water



Pyro-electric excitation  
 $\Delta 2-5$  K  
Heating, Cooling

Plasma deposited  
coatings



Substrate : Glass, Metal, Polymer, Ceramic...

From Wfk Autoprotect presentation - 27.11.2017

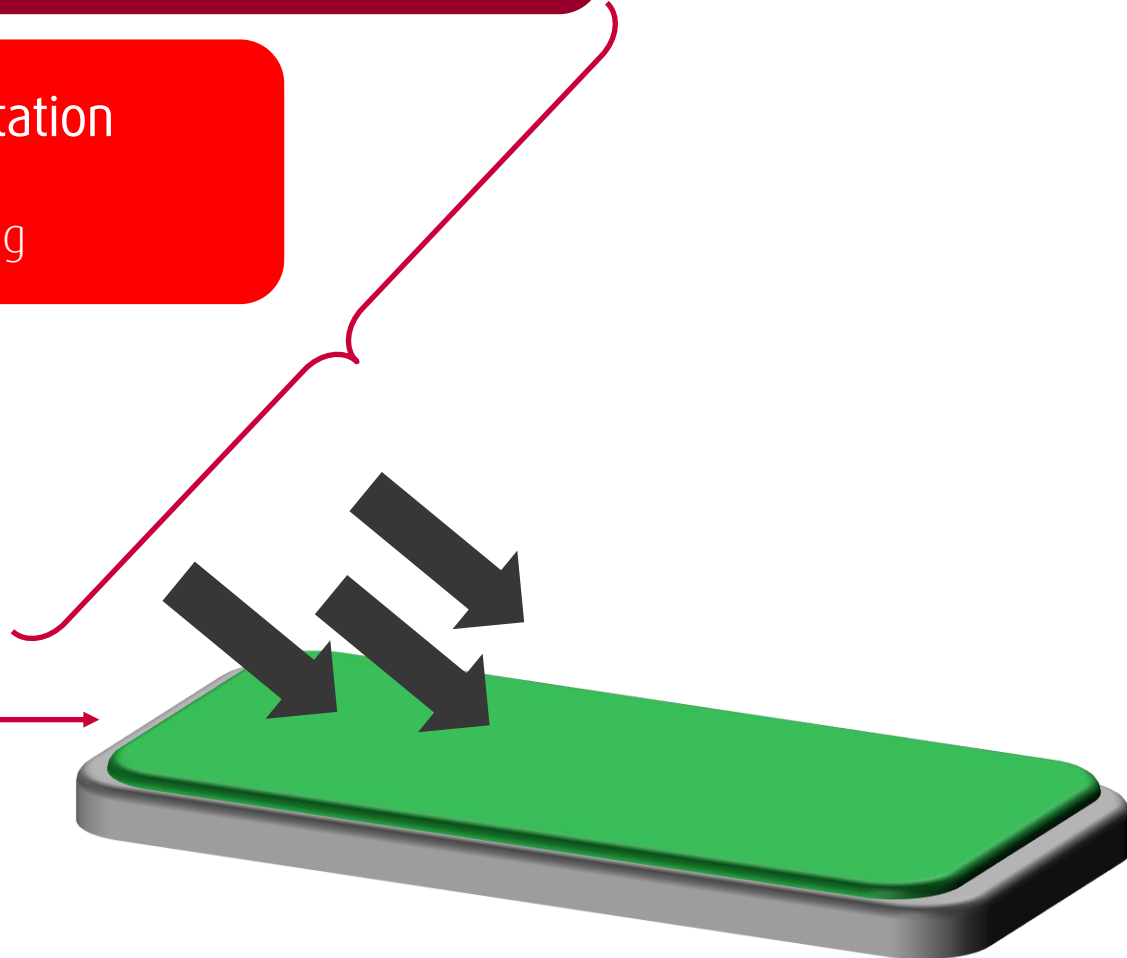
# Goal definition

**Piezo-electric excitation**  
Pressure of <math><0,01\text{ MPa}</math>  
Contact pressure, wind, water

**Pyro-electric excitation**  
 $\Delta 2-5\text{ K}$   
Heating, Cooling

**Photo-catalytic excitation**  
Light excitation 200-550 nm  
Day light, artificial illumination

Plasma deposited coatings



Substrate : Glass, Metal, Polymer, Ceramic...

From Wfk Autoprotect presentation - 27.11.2017

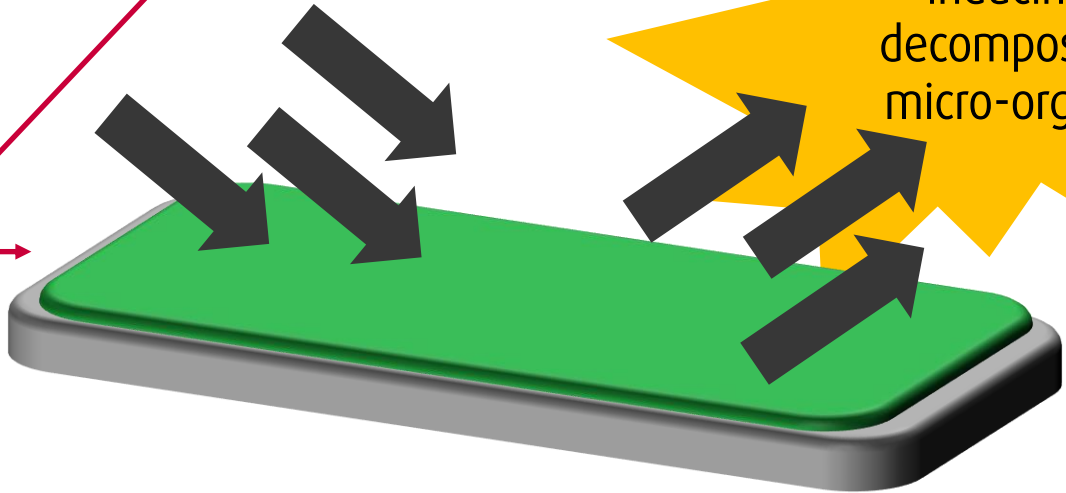
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Heating, Cooling

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Plasma deposited coatings



Hydroxyl radicals generation inducing the decomposition of micro-organisms

Substrate : Glass, Metal, Polymer, Ceramic...

From Wfk Autoprotect presentation - 27.11.2017

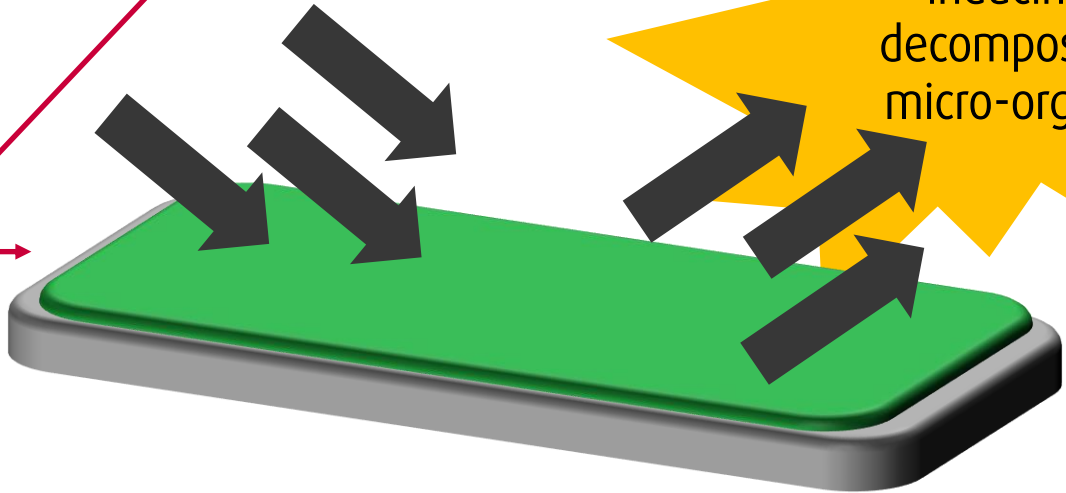
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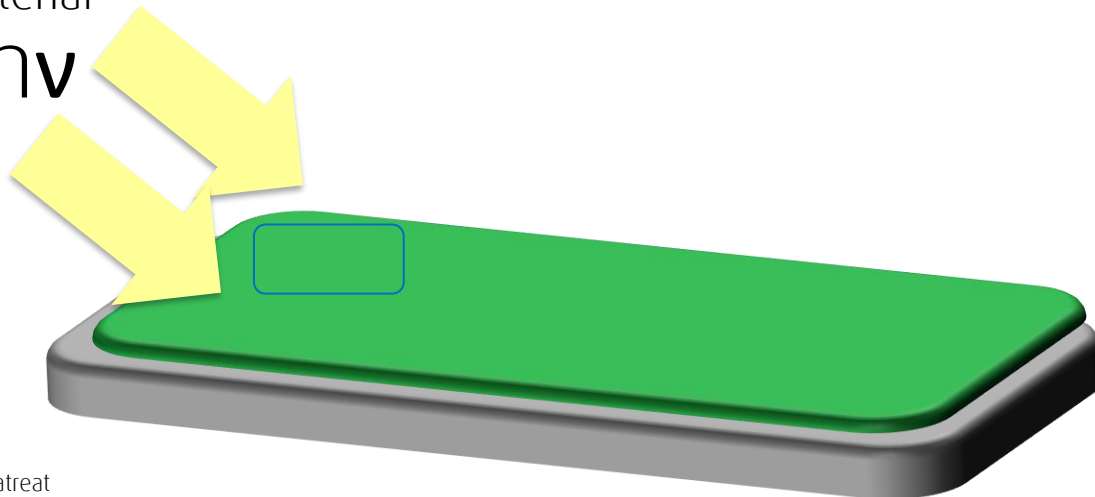
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From Wfk Autoprotect presentation - 27.11.2017

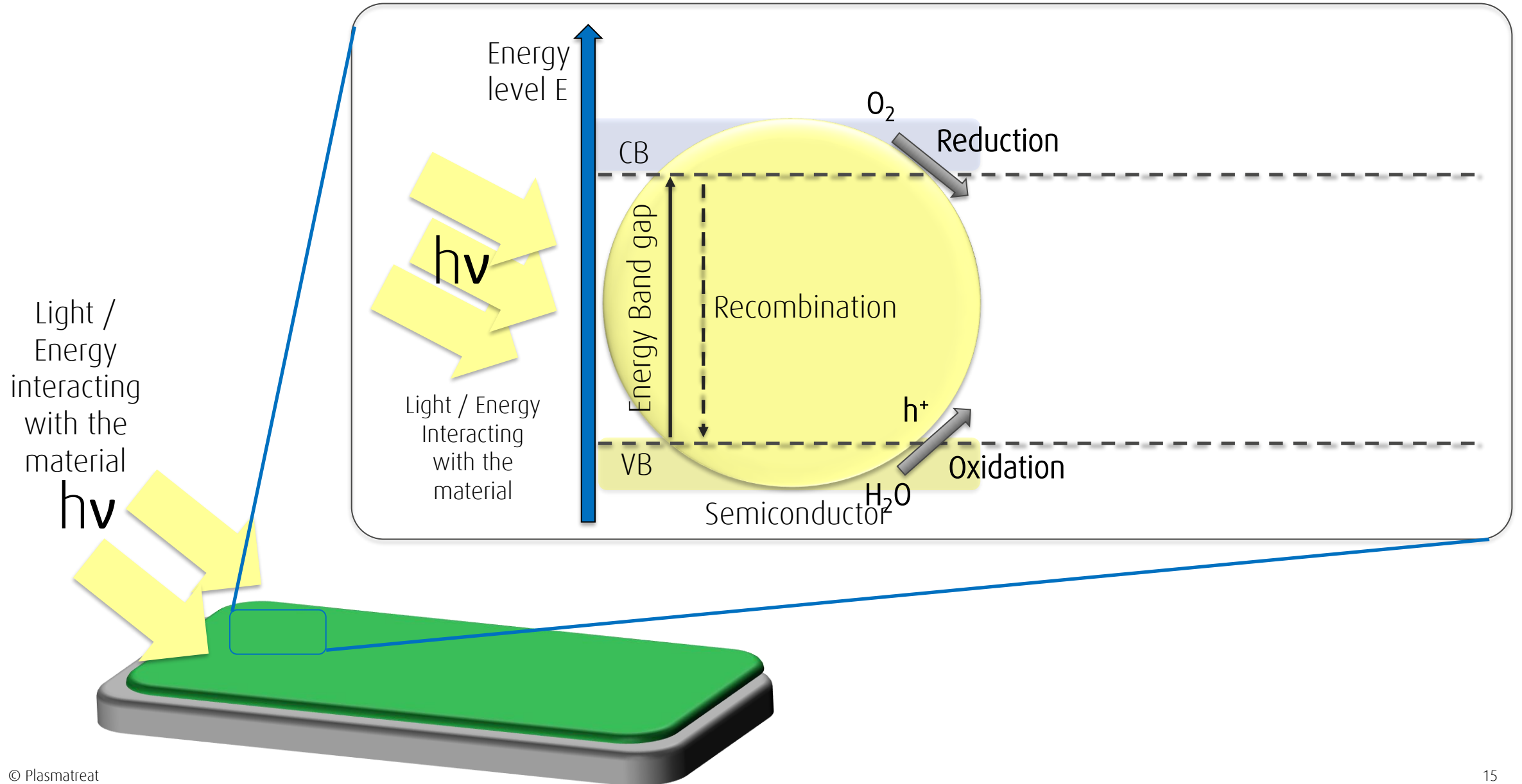
# Photocatalytic principle and coating definition

Light /  
Energy  
interacting  
with the  
material

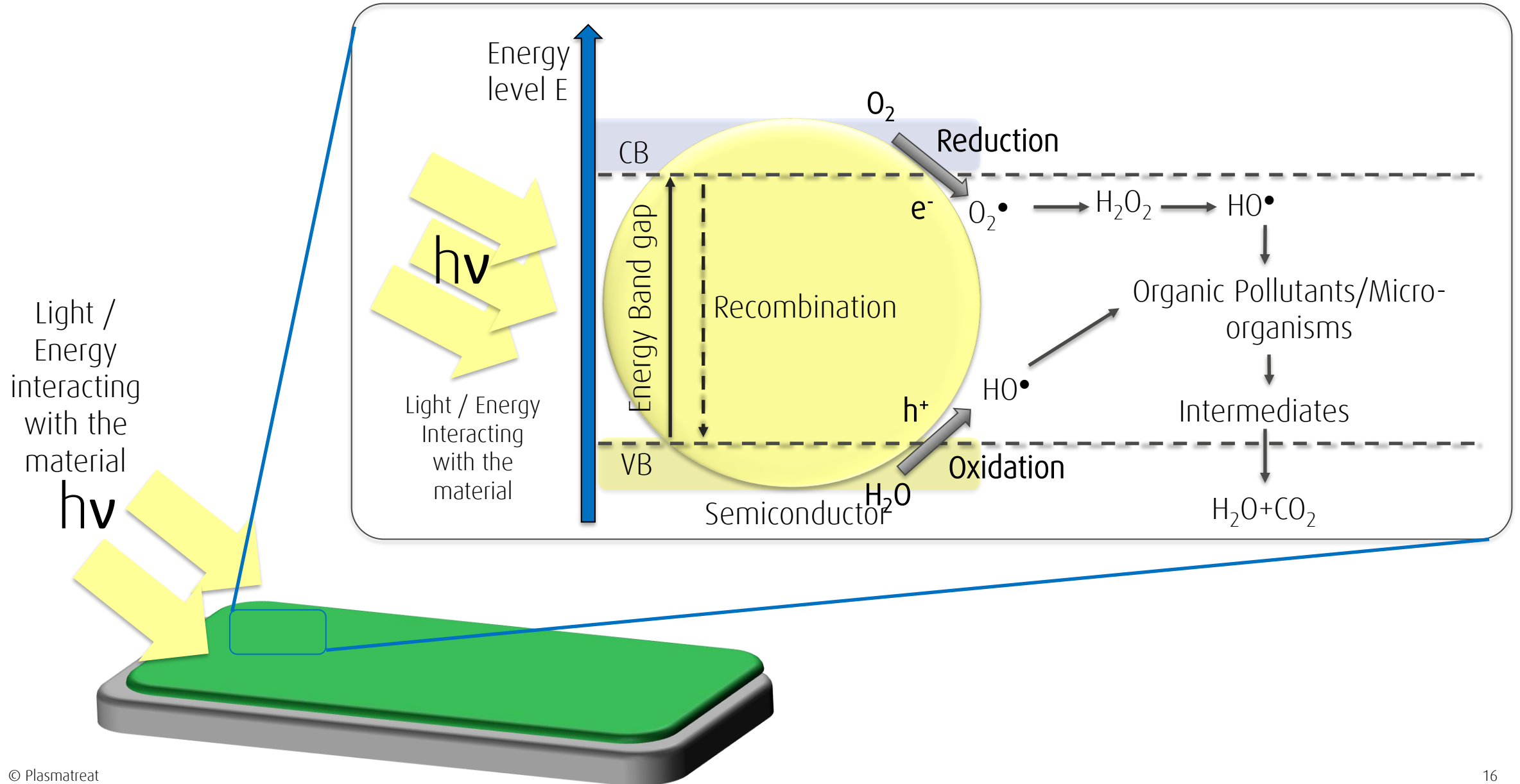
$h\nu$



# Photocatalytic principle and coating definition

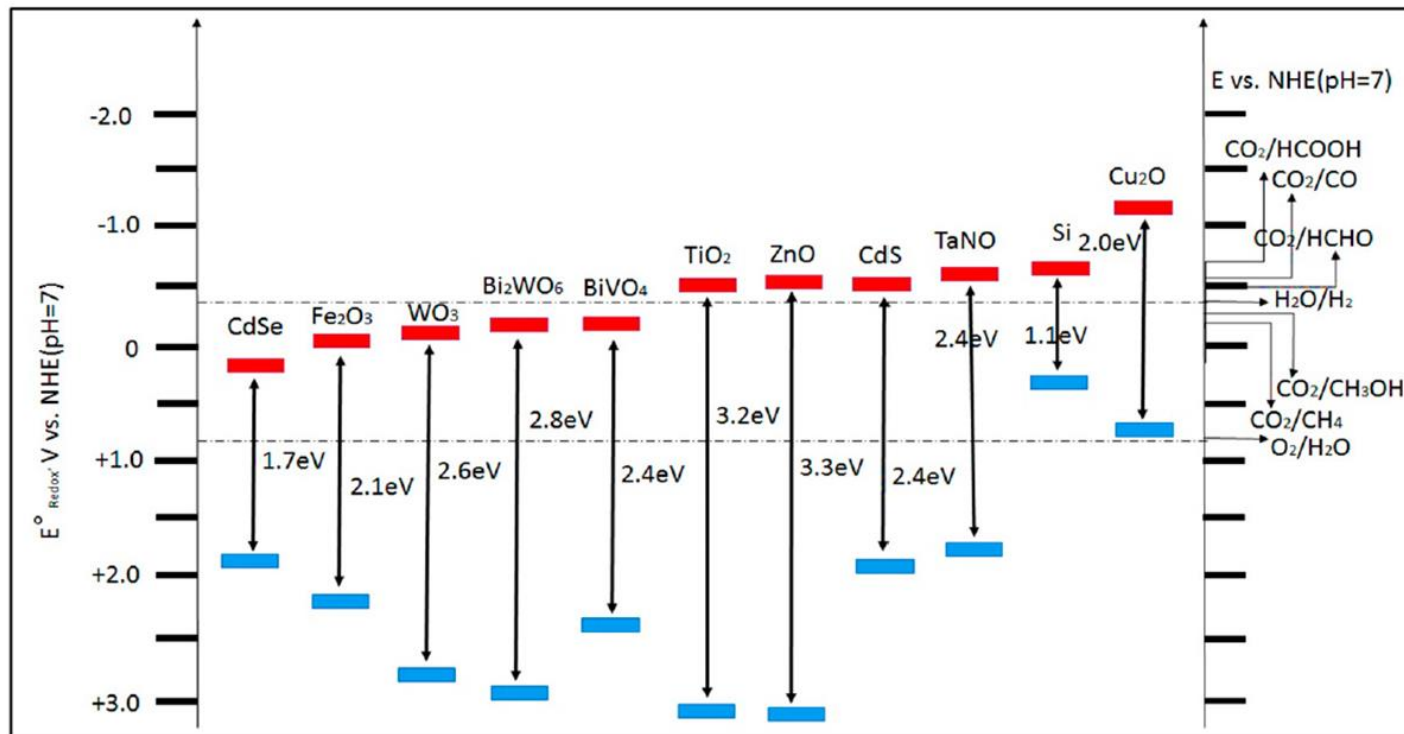


# Photocatalytic principle and coating definition





# Photocatalytic principle and coating definition

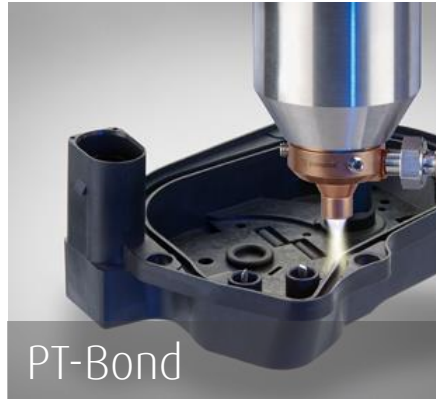


Photocatalyst	Band gap (eV)	Spectral region
ZnS	3.8	UV (326 nm)
SnO <sub>2</sub>	3.8	UV (326 nm)
TiO <sub>2</sub> (anatase)	3.24	UV (383 nm)
SrTiO <sub>2</sub>	3.2	UV (387 nm)
ZnO	3.2	UV (387 nm)
Degussa P25	3.14	UV (395 nm)
TiO <sub>2</sub> (rutile)	3.02	Visible (411 nm)
WO <sub>3</sub>	2.8	Visible (443 nm)
CdS	2.4	Visible (517 nm)
Fe <sub>2</sub> O <sub>3</sub>	2.3	Visible (539 nm)
Cu <sub>2</sub> O	2.2	Visible (564 nm)
MoS <sub>2</sub>	1.8	Visible (689 nm)
CdSe	1.7	Visible (729 nm)

Source : *Materials* 2017, 10, 629; doi: 10.3390/ma10060629

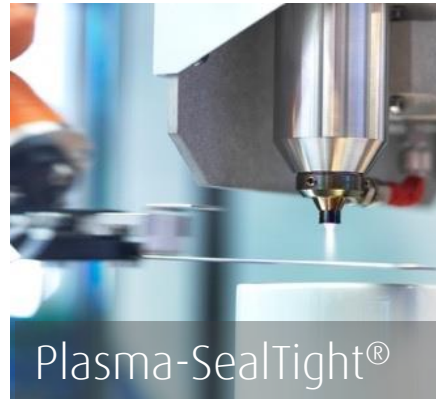
# Photocatalytic principle and coating definition

## PlasmaPlus® Technology



PT-Bond

PT-Bond is a plasma coating designed to promote the adhesion of adhesives and sealants



Plasma-SealTight®

Plasma-SealTight® coating for a strong, covalent bond between component parts



AntiCorr®

A high-performance barrier coating which provides an inline and active corrosion protection

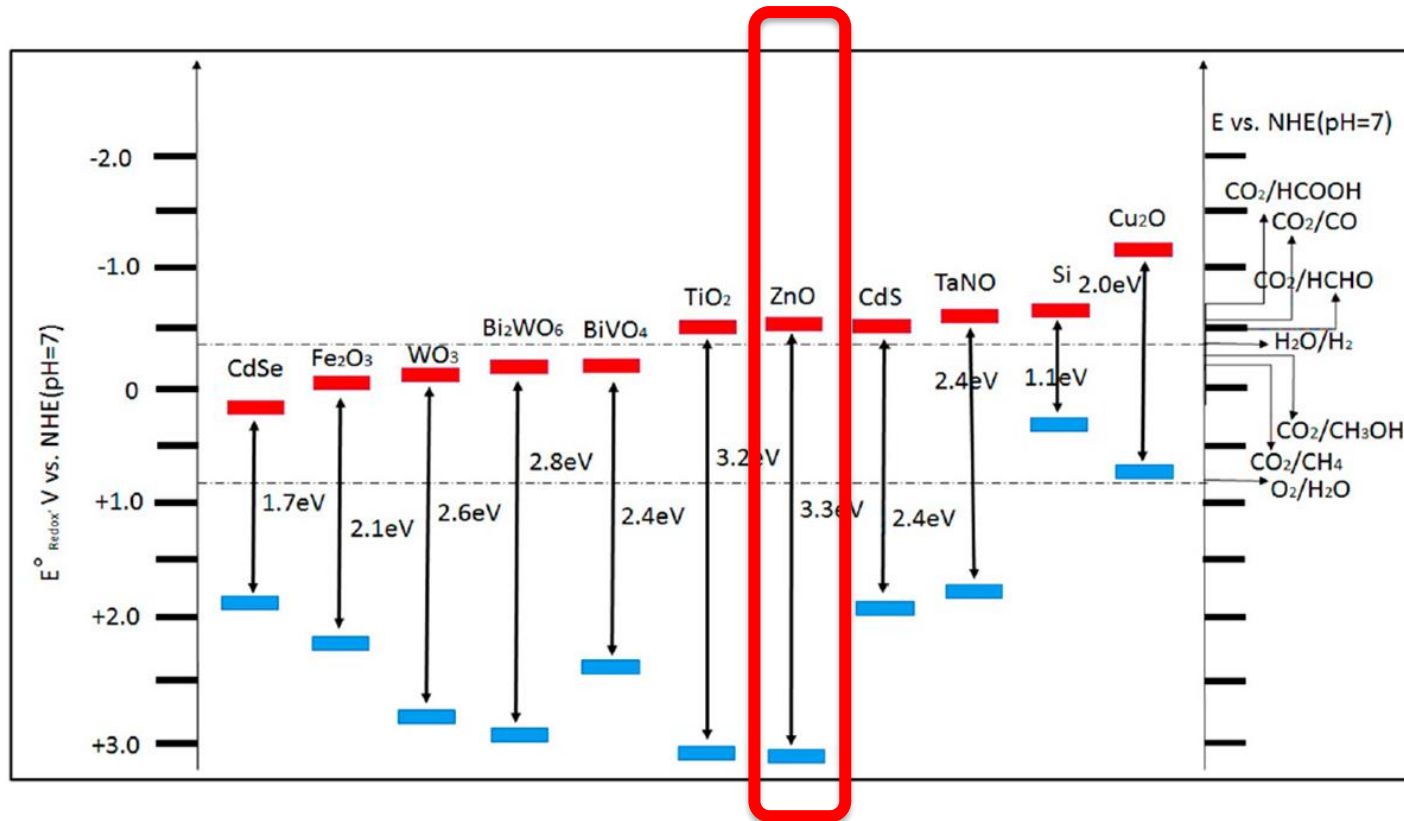


PT-Print

PT-Print enables a one-step digital printing process which significantly improves the adhesion and moisture resistance of UV inks on hard materials

# Photocatalytic principle and coating definition

## PlasmaPlus<sup>®</sup> towards innovative coatings



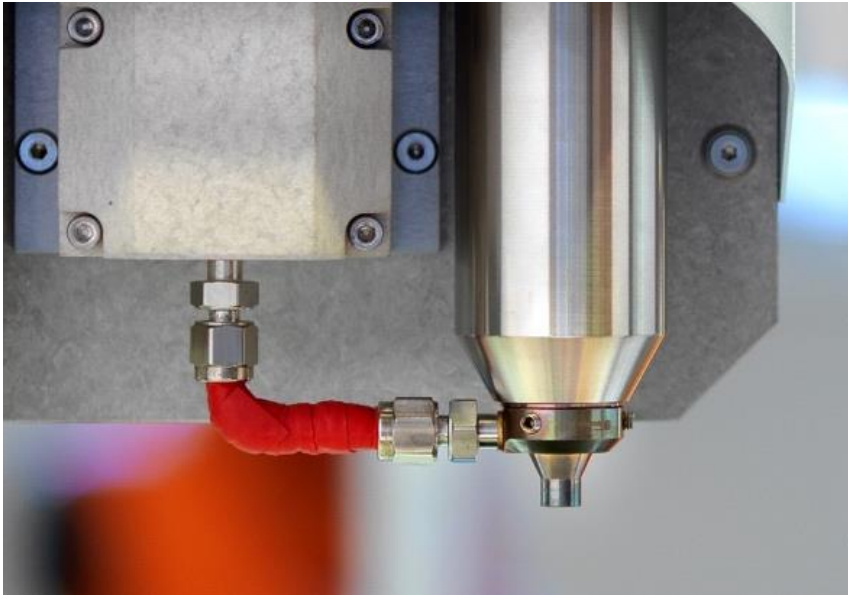
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CdSe	1.7	Visible (729 nm)

This presentation will be focused on presenting the latest results obtained depositing ZnO/SiO mixed oxide films by plasma

Source : *Materials* 2017, 10, 629; doi: 10.3390/ma10060629

# Results and characterization

## PlasmaPlus<sup>®</sup> process

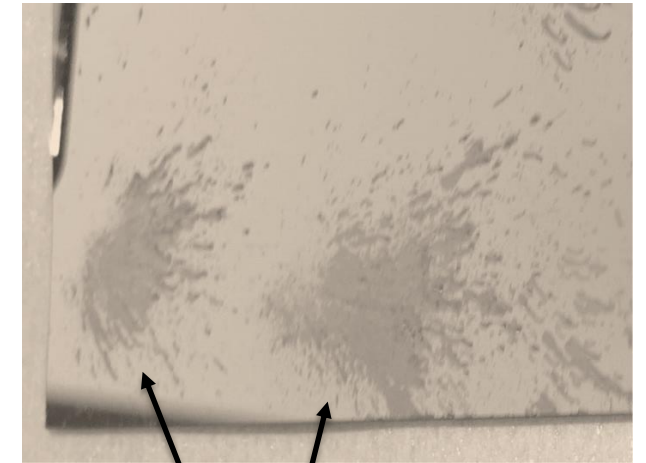


Formulation and optimization of SiO<sub>x</sub>/ZnO<sub>x</sub> precursor.

Coating goal is to obtain ZnO<sub>x</sub> embedded in the SiO<sub>x</sub> matrix



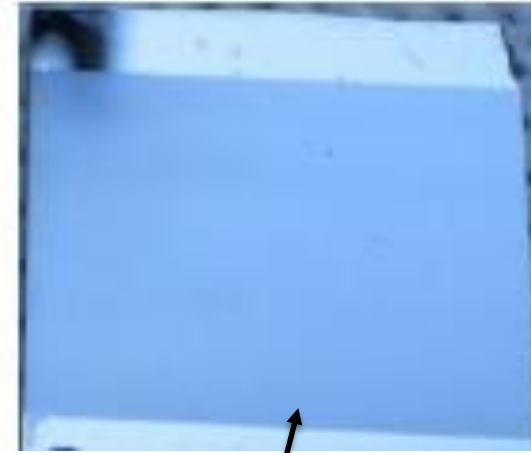
Crystallized precursor observed in the plasma nozzle head



Inhomogeneous coating observed during deposition on Si Wafer

# Results and characterization

## PlasmaPlus® process optimization



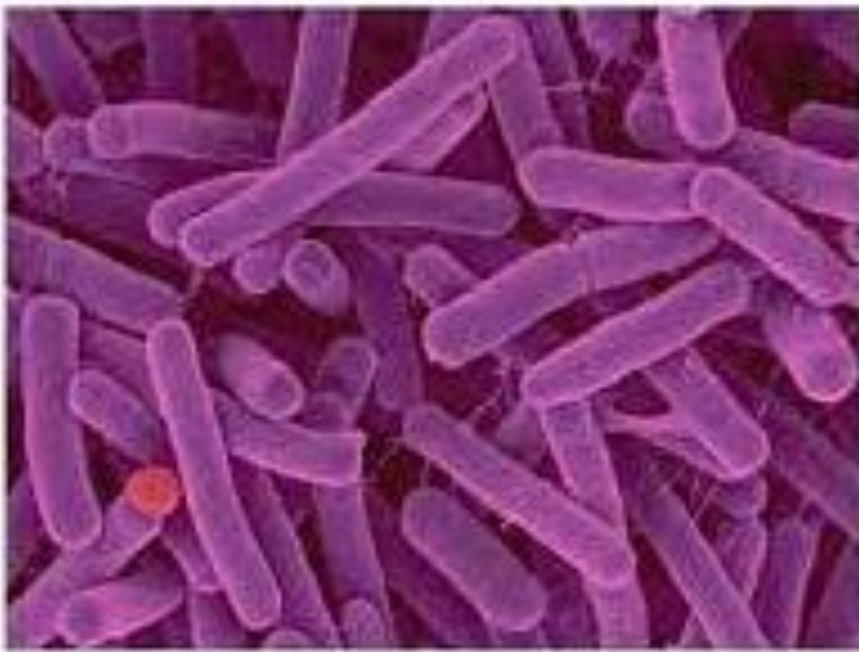
Homogeneous coating  
observed during  
deposition on Si Wafer

More homogeneous coating obtained using the external  
precursor introduction – Validation of the deposition process

## Results and characterization

### Methodology used for Antibacterial surface property evaluation:

- Test germ: *Bacillus atrophaeus* 2277 in LB Solution
- Incubation: 20°C, rf. ca 90%, 2h
- Samples : Polycarbonate samples 1x1 cm  
5 samples per coating parameter



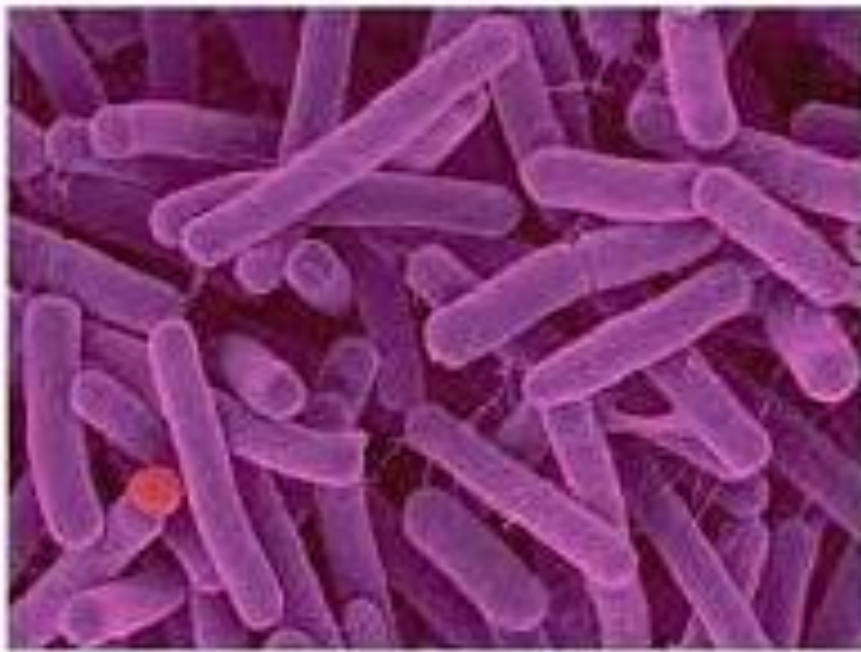
Source :

<https://www.sciencephoto.com/media/798576/view/bacillus-atrophaeus-bioindicator-bacterium-sem>

# Results and characterization

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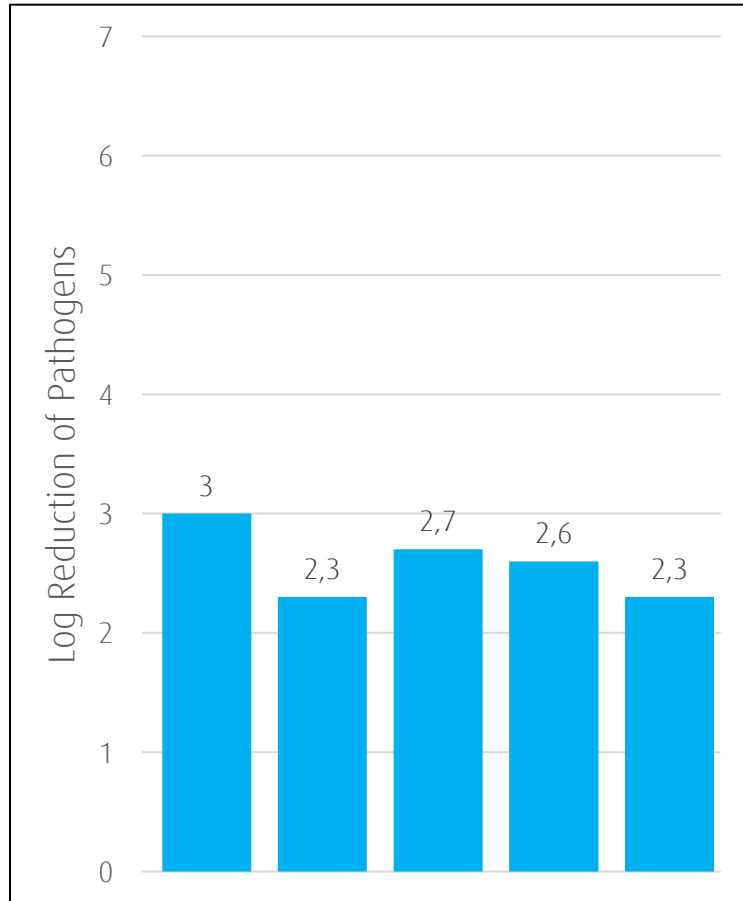


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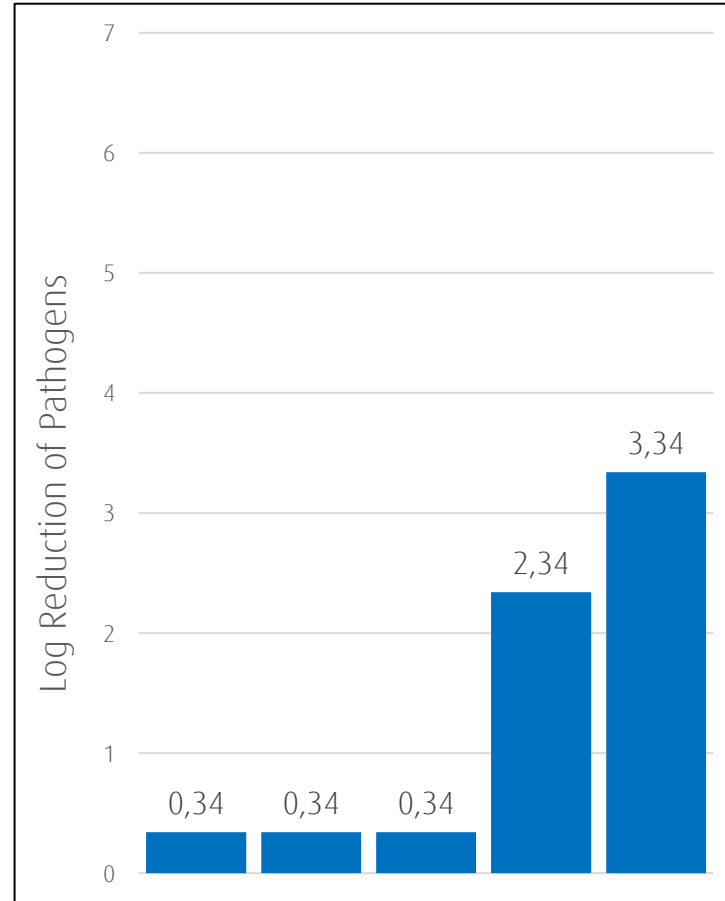
# Results and characterization

## Influence of film composition



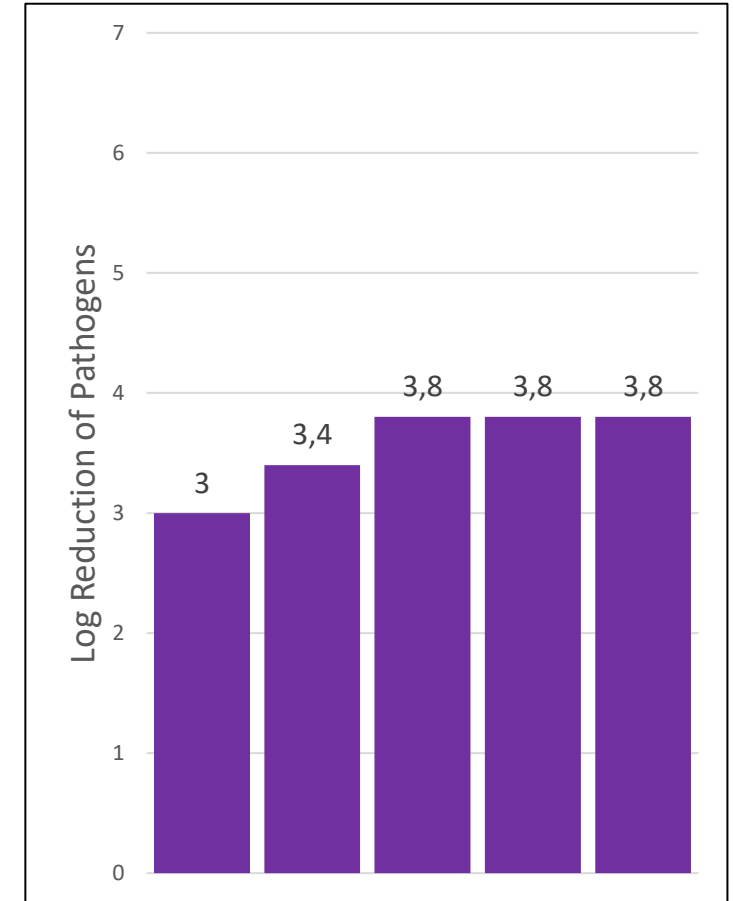
ZnO coatings Air-Plasma

Good antimicrobial properties of ZnO based coating



SiO<sub>x</sub>/ZnO Air-Plasma

Improvement of the antimicrobial properties observed for SiO<sub>x</sub>/ZnO based coating  
However additional efforts required to improve **the mechanical stability**

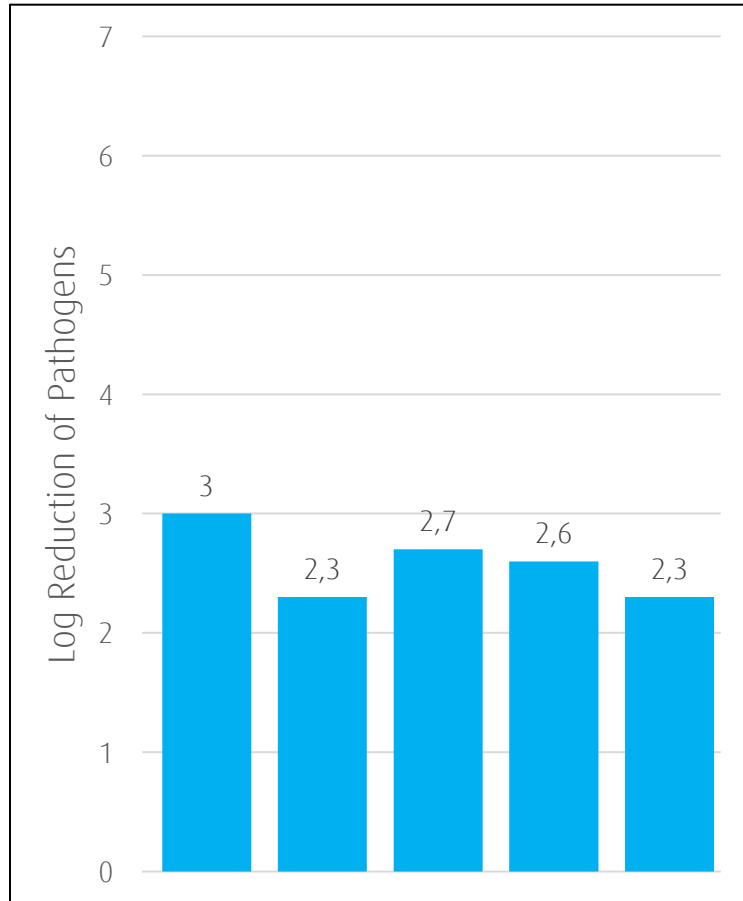


SiO<sub>x</sub>/ZnO Nitrogen-Plasma



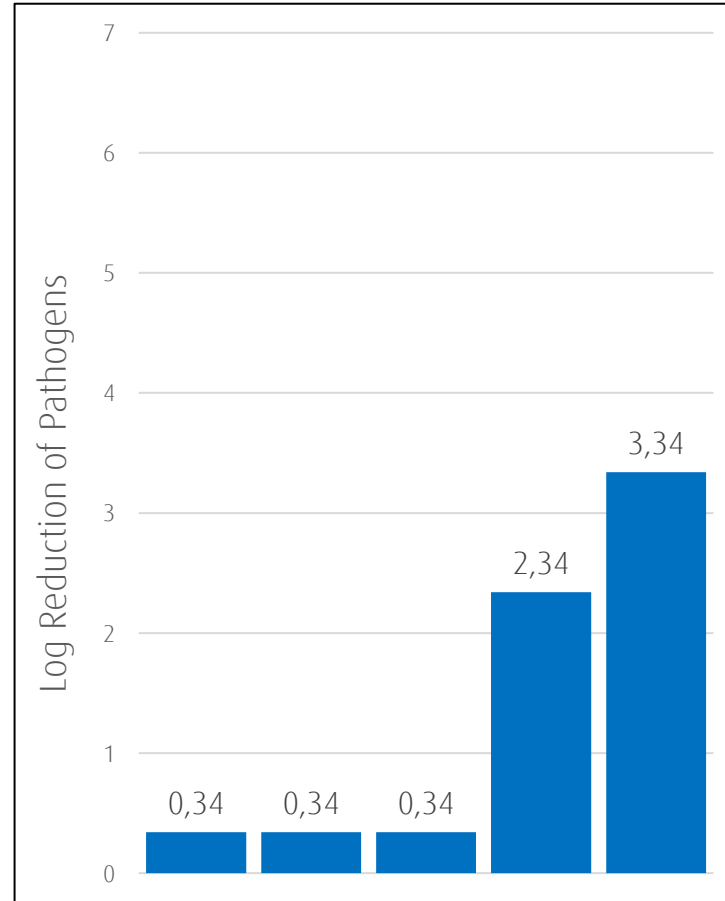
# Results and characterization

## Influence of film composition



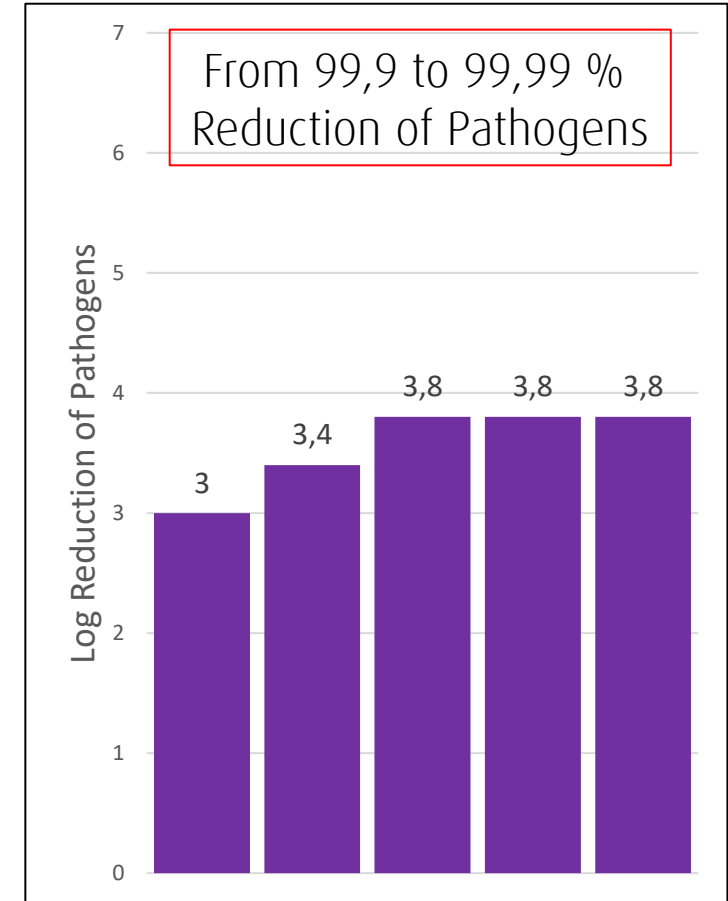
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SiO<sub>x</sub>/ZnO Nitrogen-Plasma

# Results and characterization

Through changes in deposition parameters mechanically stable coatings could be achieved

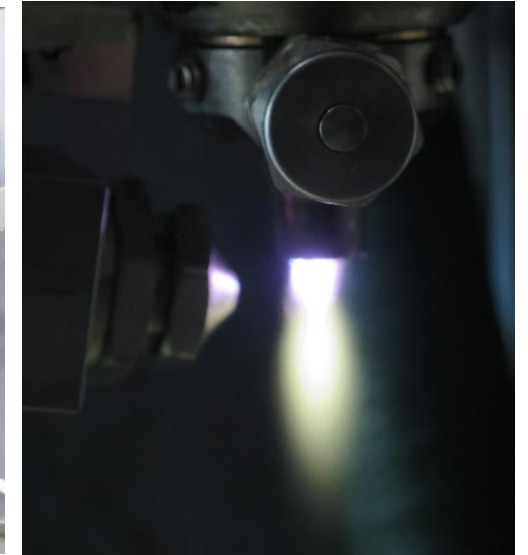
## Stability on Substrates:

Tested by tape-test in reference to Din EN ISO 2409

As well as crosscut test as described in Din EN ISO 2409

## 2. Optimized Spray configuration

Substrate	Tape test	Crosscut -Test
Si Wafer	No detachment	Value 0 - OK
Aluminium	No detachment	Value 0 - OK
Polycarbonate	No detachment	Value 0 - OK

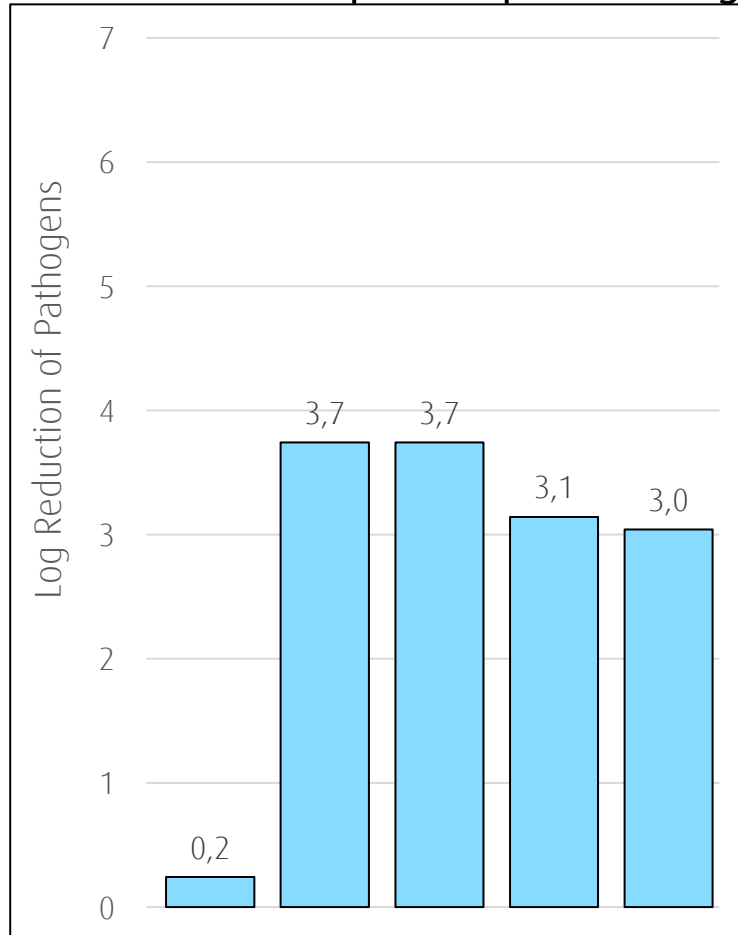


Optimization in deposition parameters :

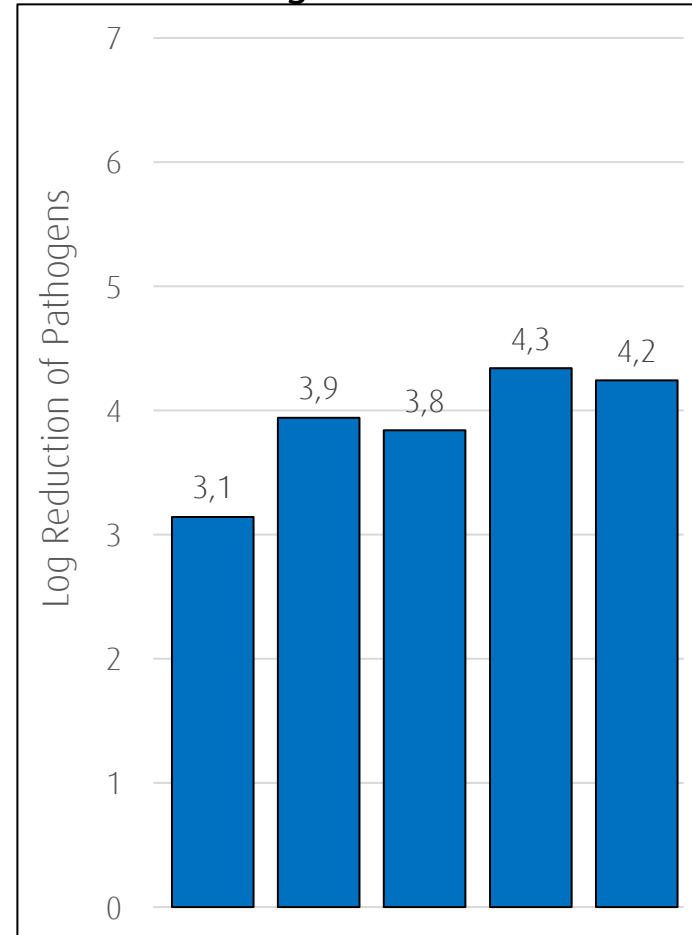
- Spray fixtures
- Spray parameters
- Angle of injection

# Results and characterization

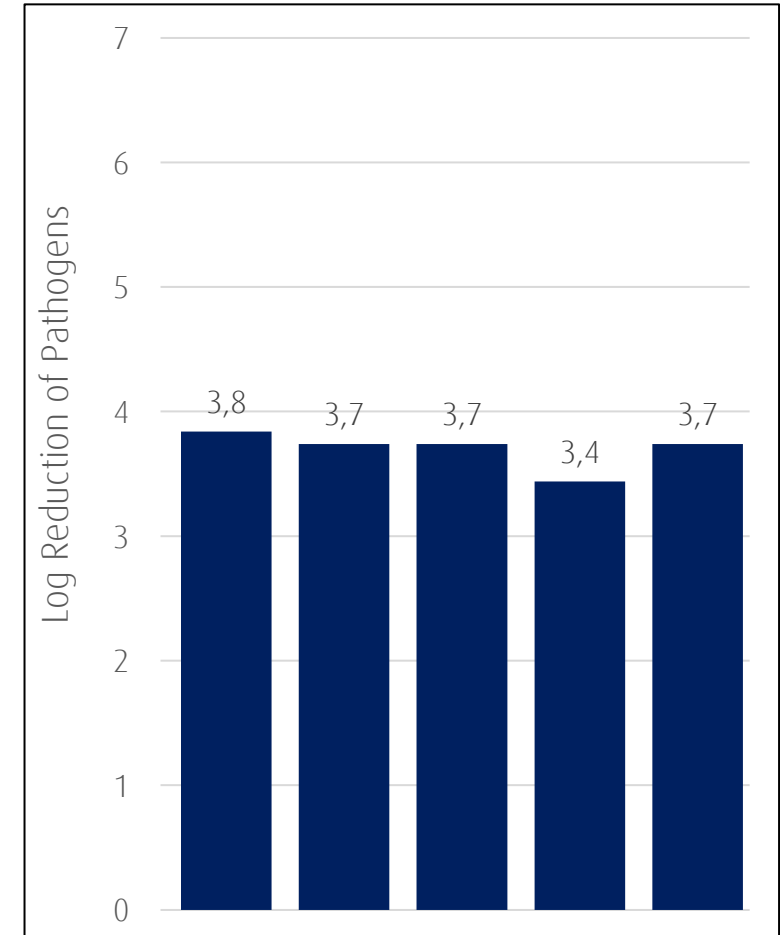
Influence of the plasma power using Air as ionization gas



SiO/ZnO coatings  
Air-Plasma Low Power



SiO/ZnO coatings  
Air-Plasma Medium Power

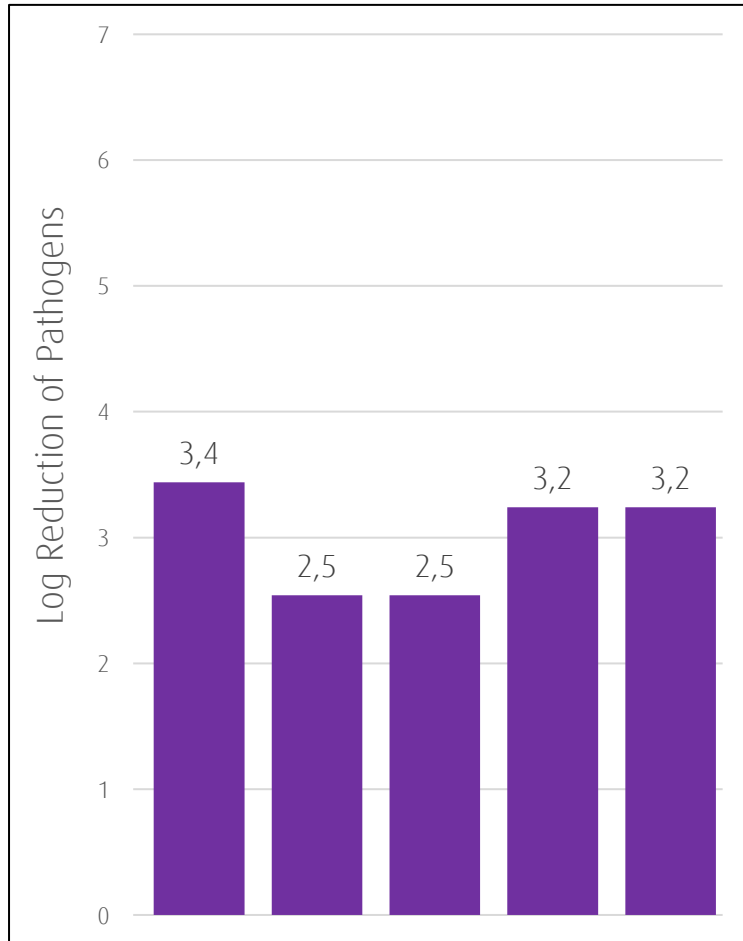


SiO/ZnO coatings  
Air-Plasma High Power

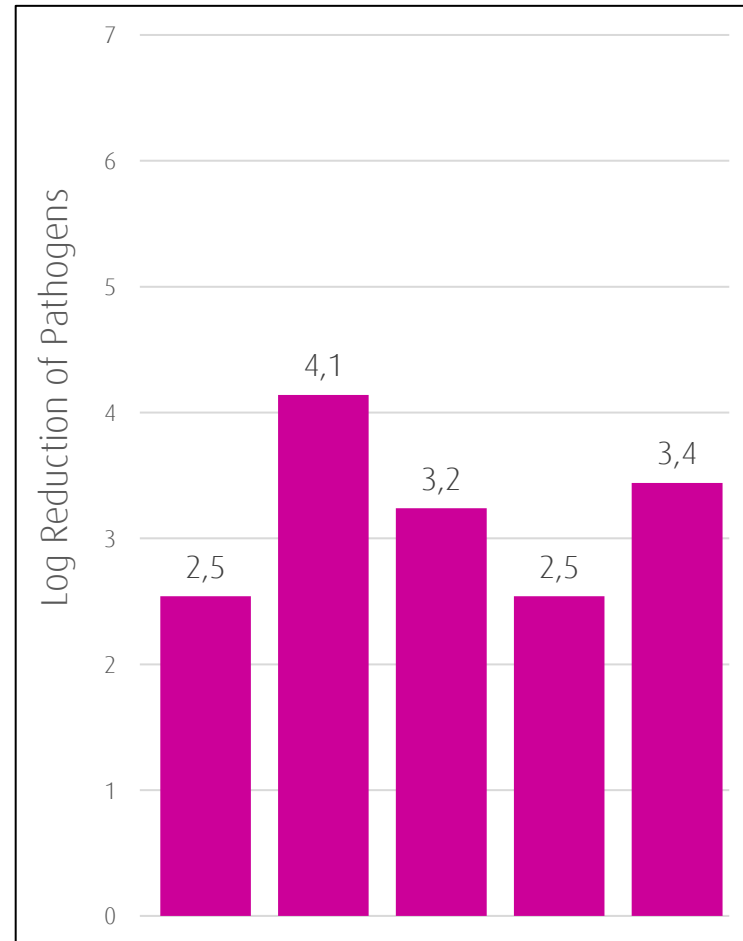
Plasma Power shows little influence on antibacterial properties, but slightly increased mechanical stability.

# Results and characterization

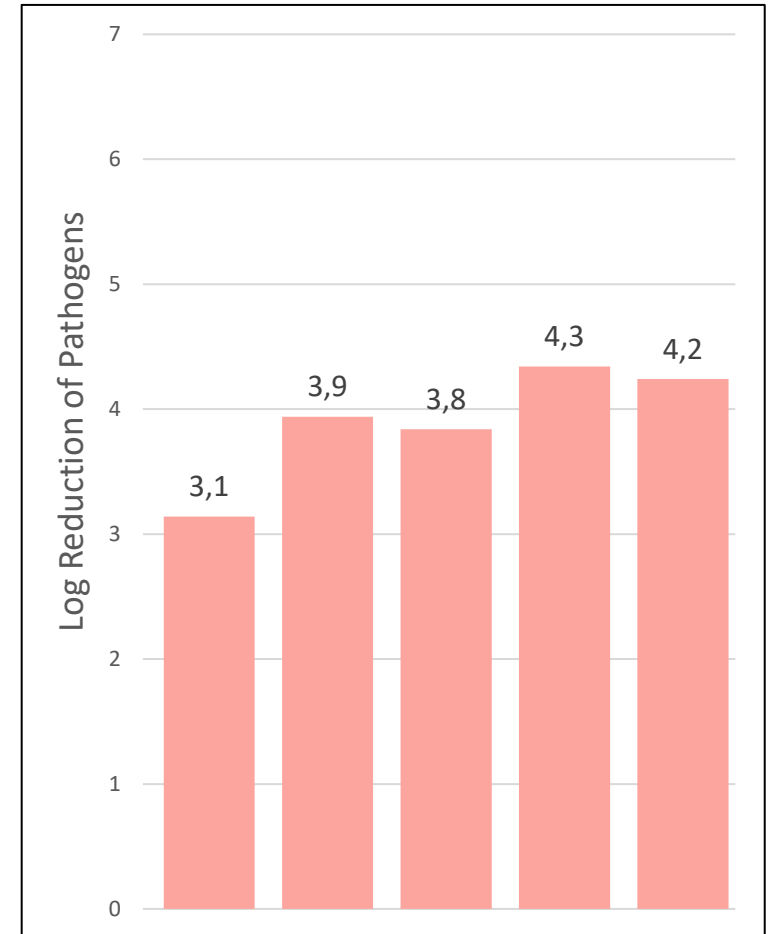
Influence of the plasma power using Nitrogen as ionization gas



SiO/ZnO coatings Nitrogen Plasma High Power



SiO/ZnO coatings Nitrogen Plasma Medium Power

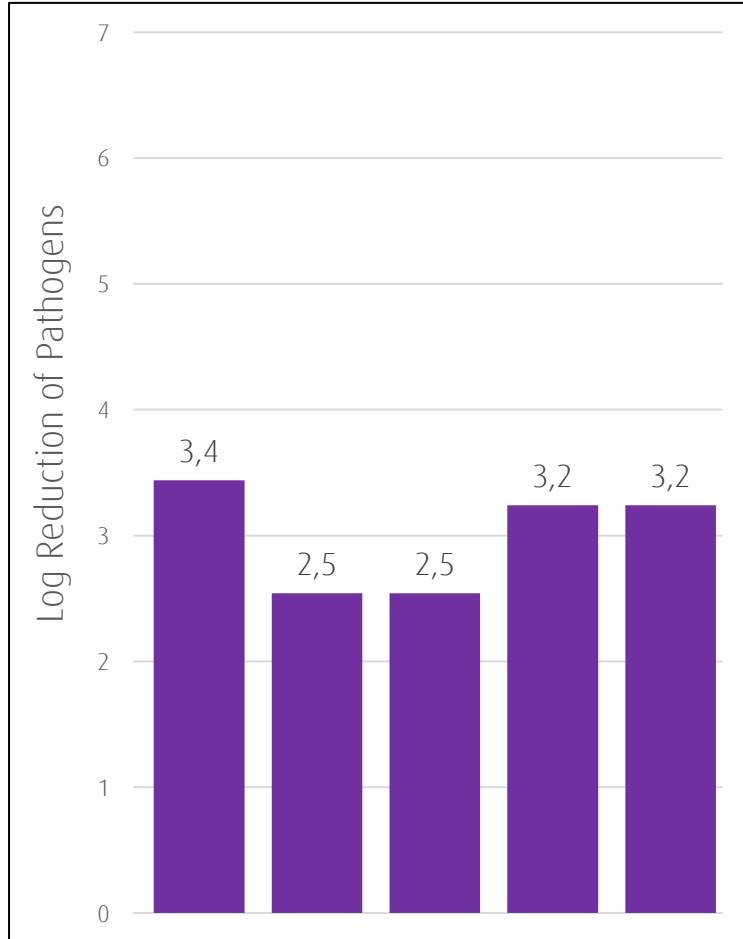


SiO/ZnO coatings Nitrogen Plasma Low Power

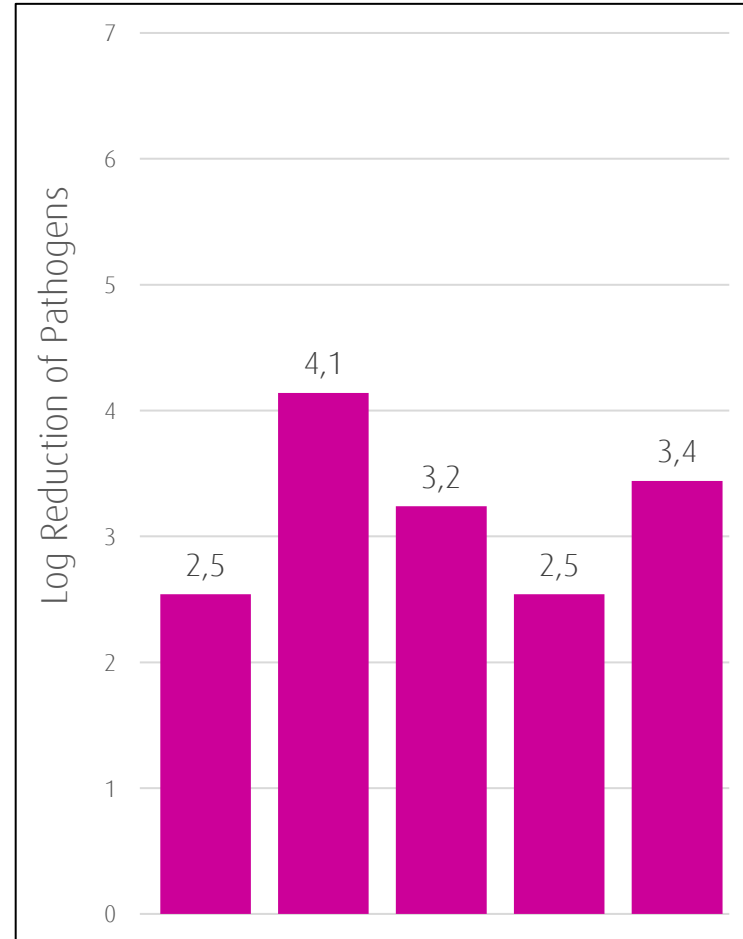
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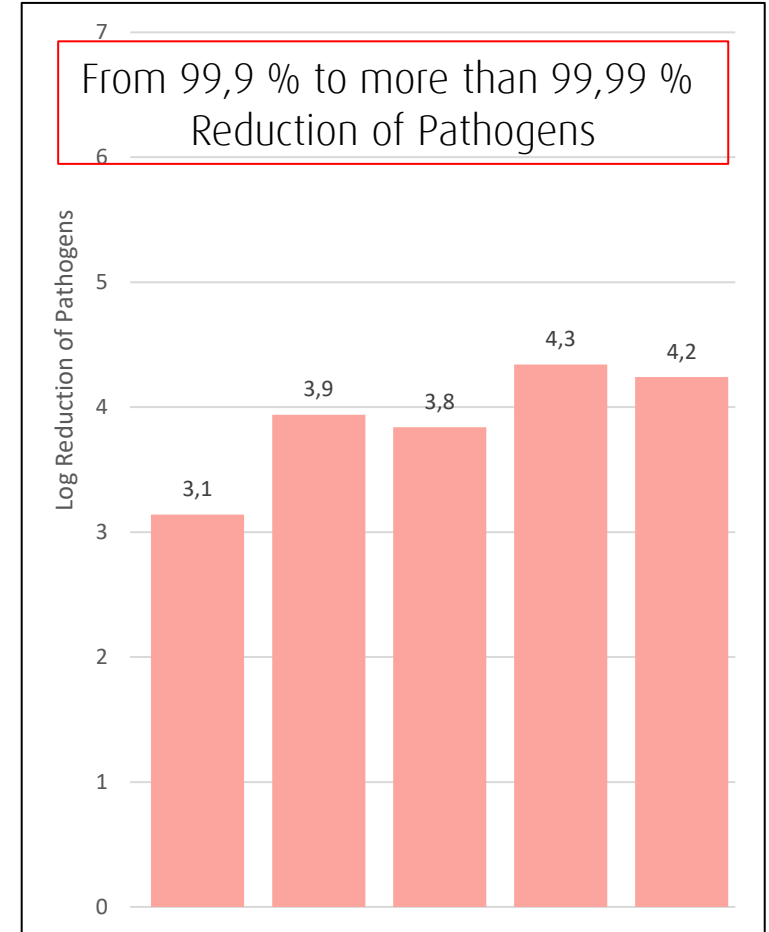
Influence of the plasma power using Nitrogen as ionization gas



SiO/ZnO coatings Nitrogen Plasma High Power



SiO/ZnO coatings Nitrogen Plasma Medium Power

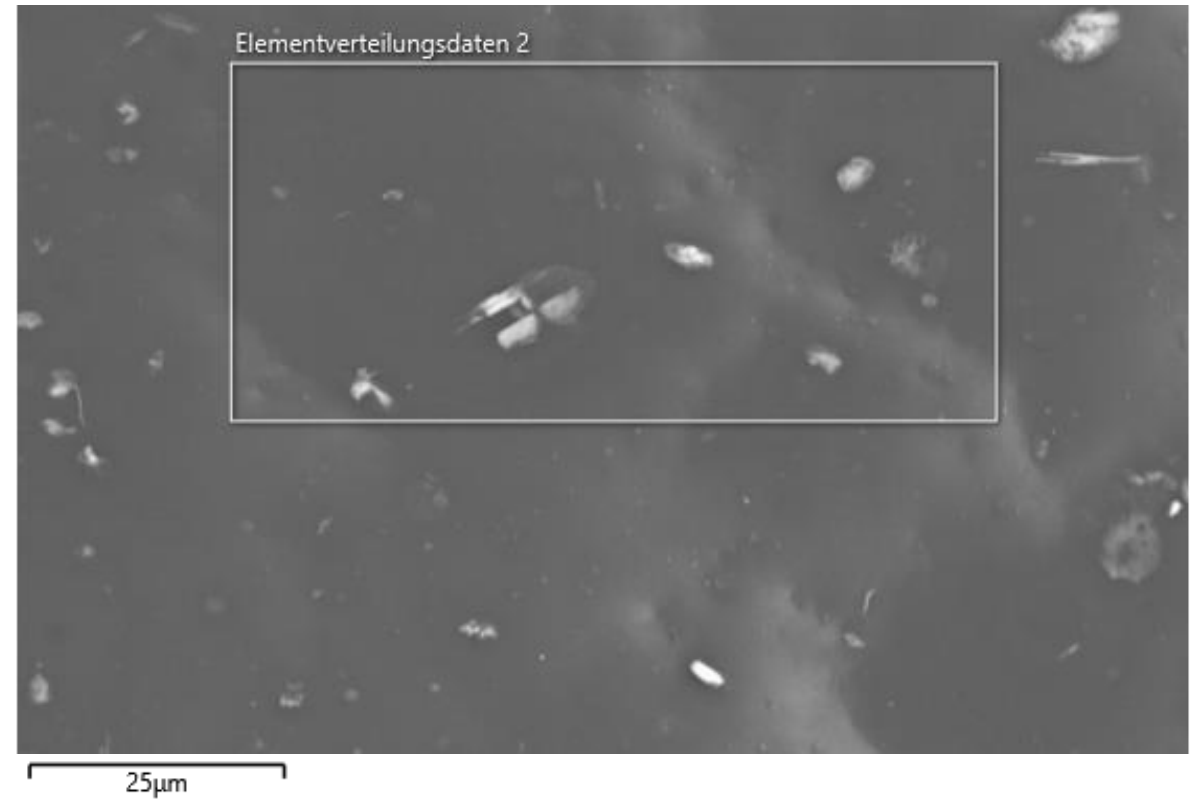
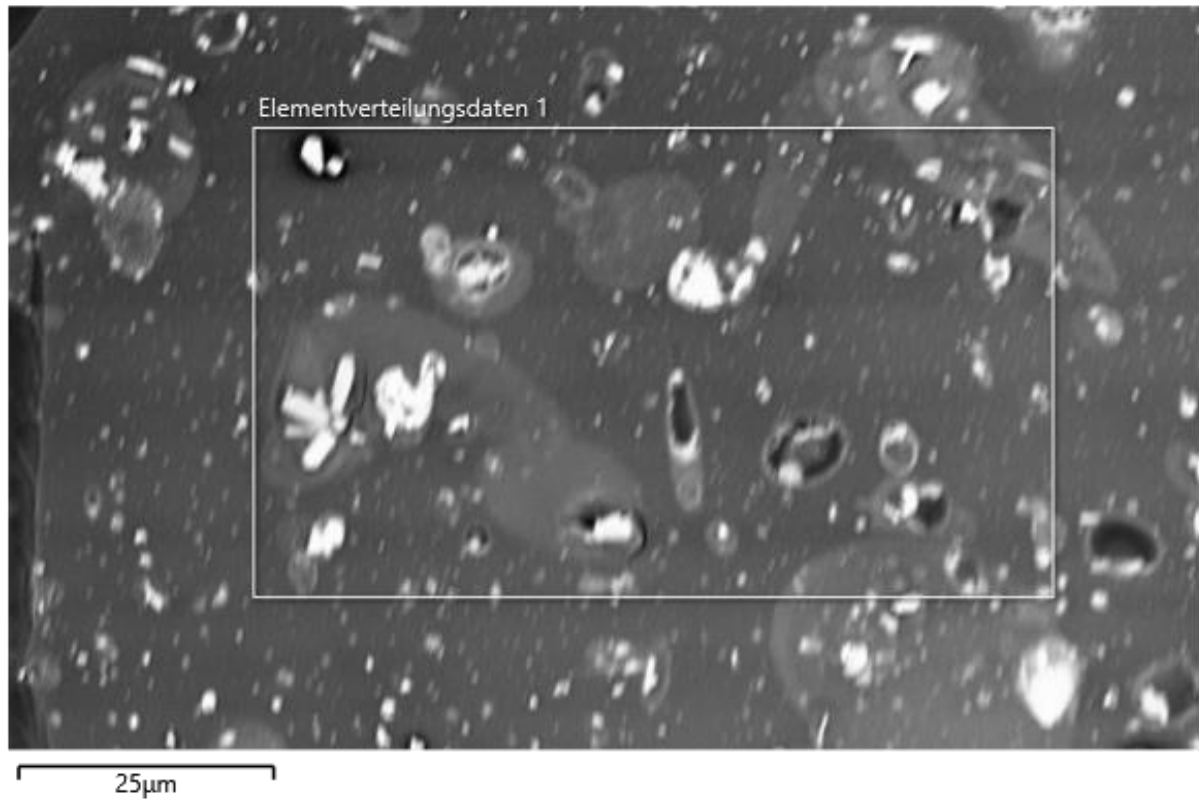


SiO/ZnO coatings Nitrogen Plasma Low Power

Plasma Power shows little influence on antibacterial properties, but slightly increased mechanical stability.

# Results and characterization

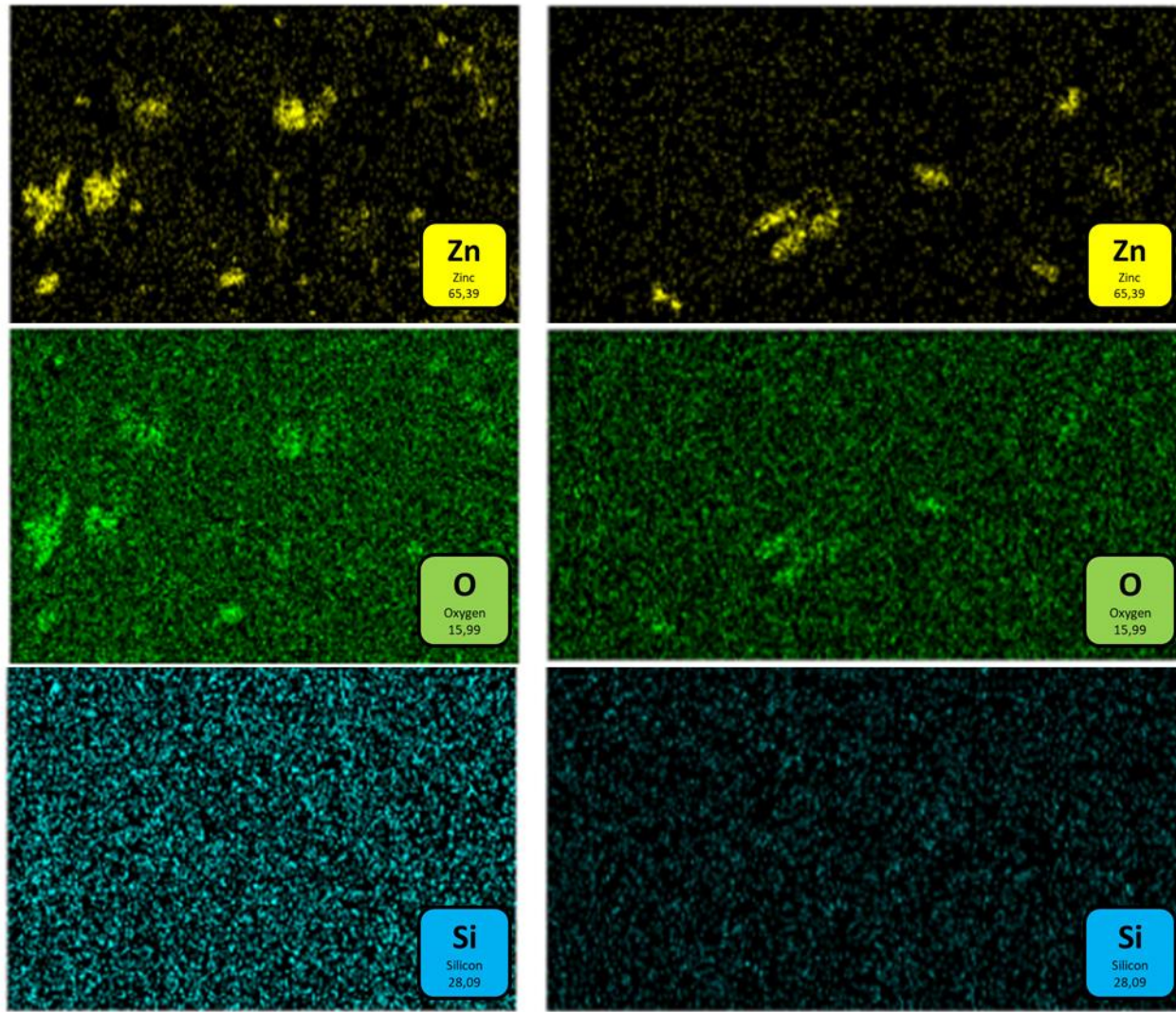
Scanning Electron Microscopy observations before and after cleaning



Electron micrographs at 1000 times magnification of the SiO<sub>x</sub> ZnO coatings on polycarbonate before a) and after 5 cleaning cycles b). The frame shown represents the area of element analysis examined in the EDX.

# Results and characterization

Scanning Electron Microscopy observations before and after cleaning - EDX



EDX – Elemental analysis highlighting Zn , Si and Oxygen elements before a) and after 5 cleaning cycles b)

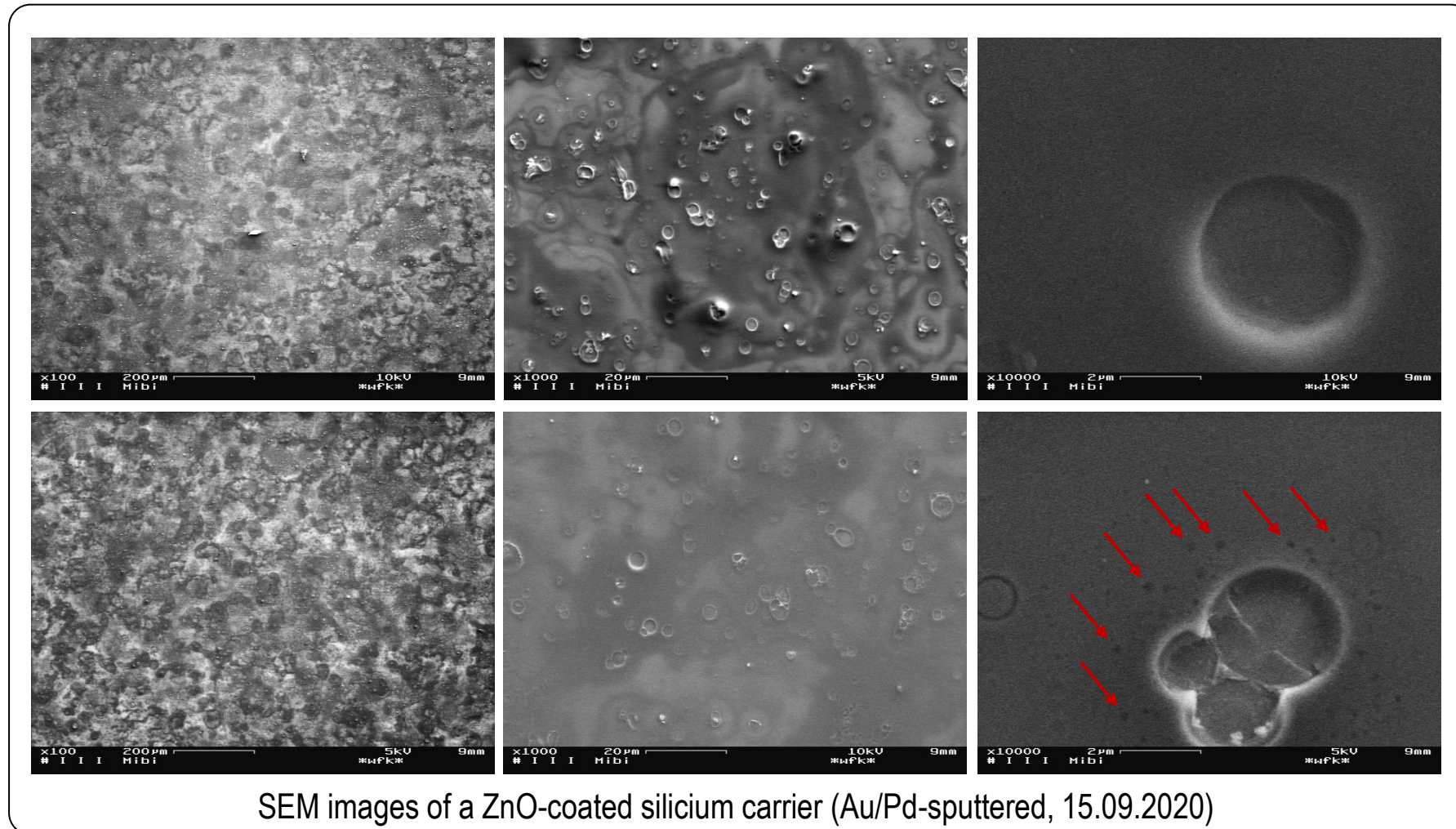


Following the coating, Zn / Si / O are clearly present on the surface however following the cleaning it can be observed that Zn particles are removed from the surface but also Oxygen content.

For the Si content, it seems that the Si is stable and no visual modification following the cleaning step on the concentration of the particles (only loss in the intensity)

# Results and characterization

## Scanning Electron microscopy characterization

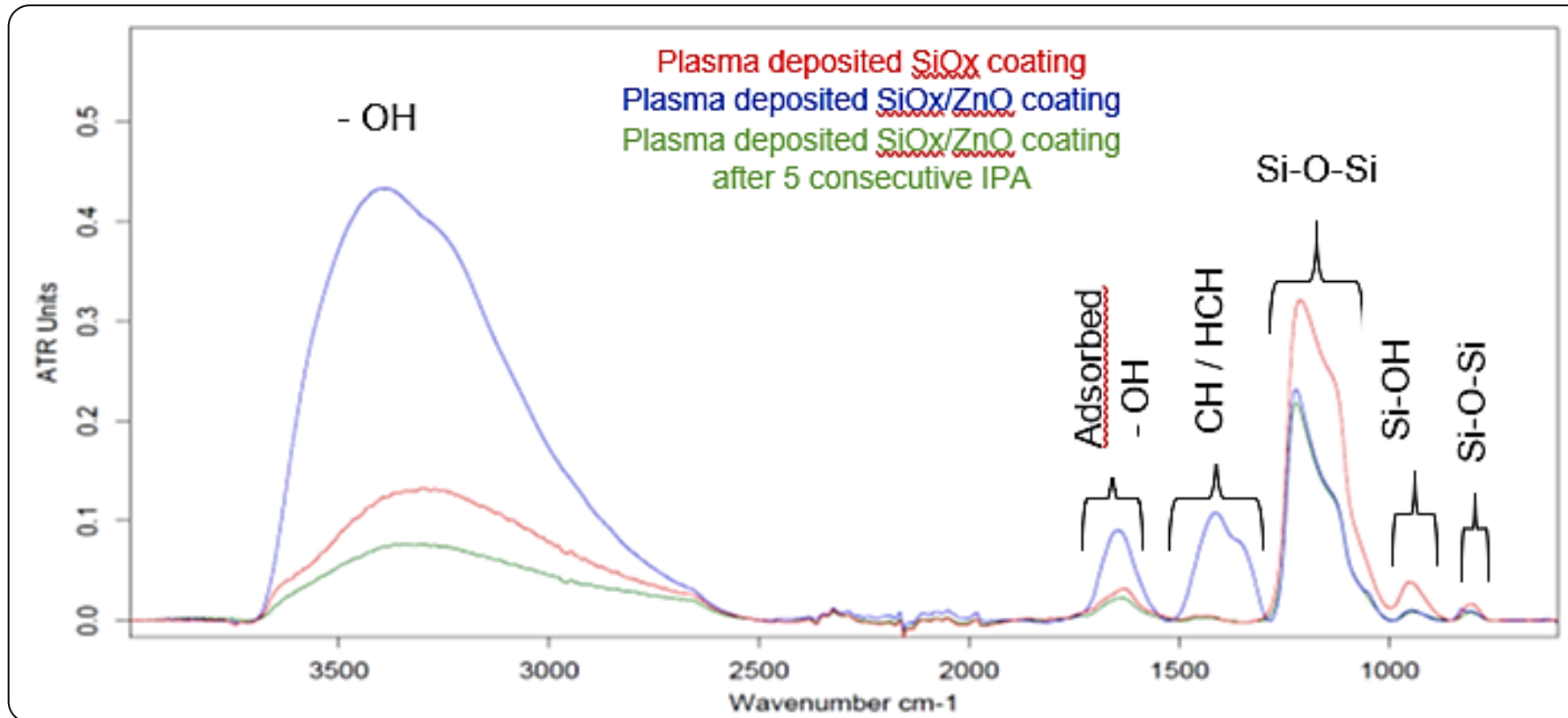


Source : WFK – SEM characterization of Plasma deposited Coating



# Results and characterization

FTIR characterization in ATR Mode

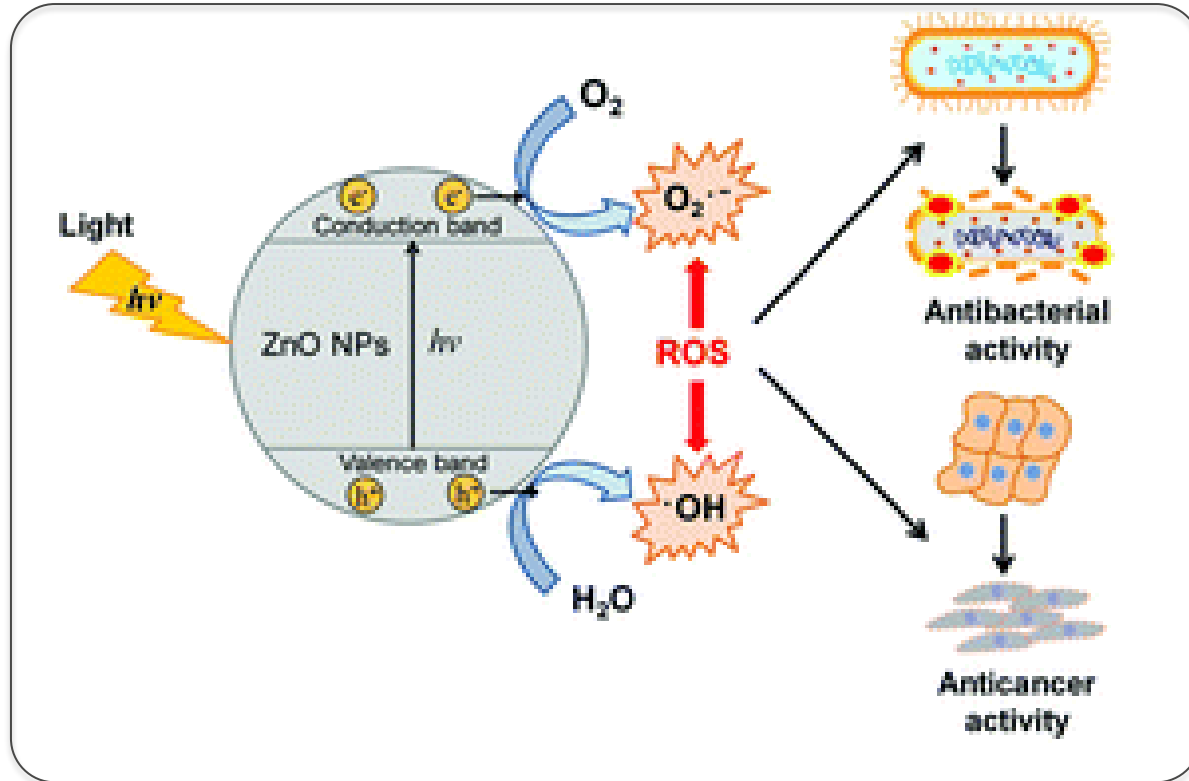


Chemical composition of the SiO<sub>x</sub>/ZnO plasma deposited coating films :

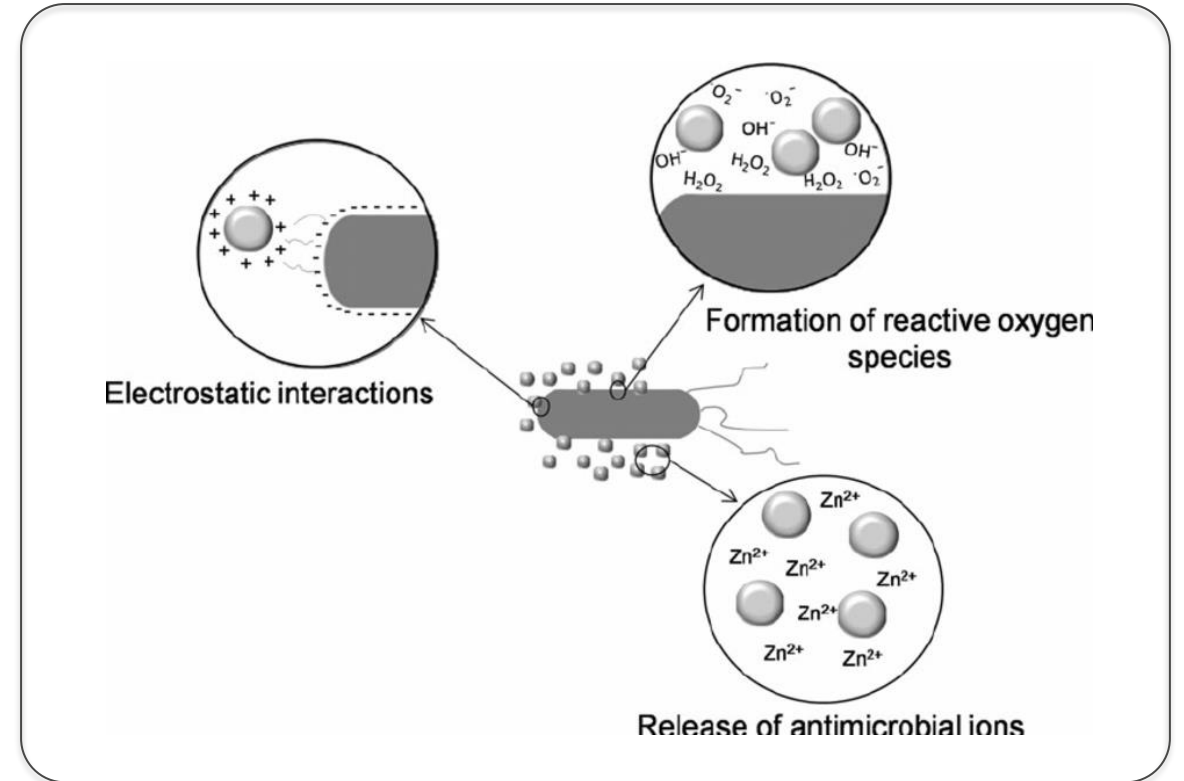
- SiO<sub>x</sub> Plasma deposited Coating
- SiO<sub>x</sub>/ZnO plasma deposited coating composition similar to the composition of SiO<sub>x</sub>/ZnO even following 5 cleaning cycles

The loss in absorbance may be attributed to a thinner coating.

# Proposed mechanisms for antibacterial activity



Release of ROS (Reactive Oxygen Species) under Light excitation



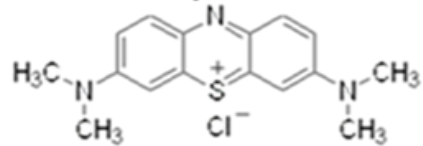
Release of Zn<sup>2+</sup> antimicrobial ions

Sivakumar *et al.*, Photo-triggered antibacterial and anticancer activities of zinc oxide nanoparticles, *J. Mater. Chem. B*, 2018,6, 4852-4871 (2018) <https://doi.org/10.1039/C8TB00948A>

Espitia *et al.* Zinc Oxide Nanoparticles: Synthesis, Antimicrobial Activity and Food Packaging Applications. *Food Bioprocess Technol* 5, 1447-1464 (2012). <https://doi.org/10.1007/s11947-012-0797-6>

# Evaluation through MB degradation and optimization in UV/Vis region

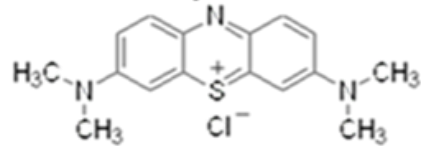
Photocatalytic evaluation according to German Standard DIN 52980 with the degradation of methylene blue solution



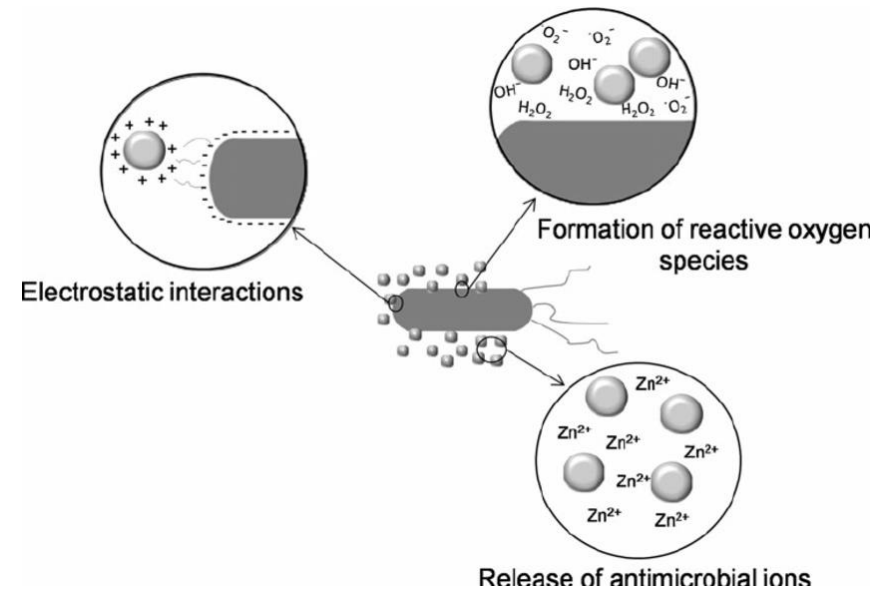
Source : <https://www.mrc-systems.de/en/products/photo-activation>

# Evaluation through MB degradation and optimization in UV/Vis region

Photocatalytic evaluation according to German Standard DIN 52980 with the degradation of methylene blue solution



The plasma deposited ZnO/SiO coating presented **no photocatalytic activity** following plasma deposition that indicates that the mechanism of antibacterial activity is associated to the release of Zn<sup>2+</sup> ions in the medium.

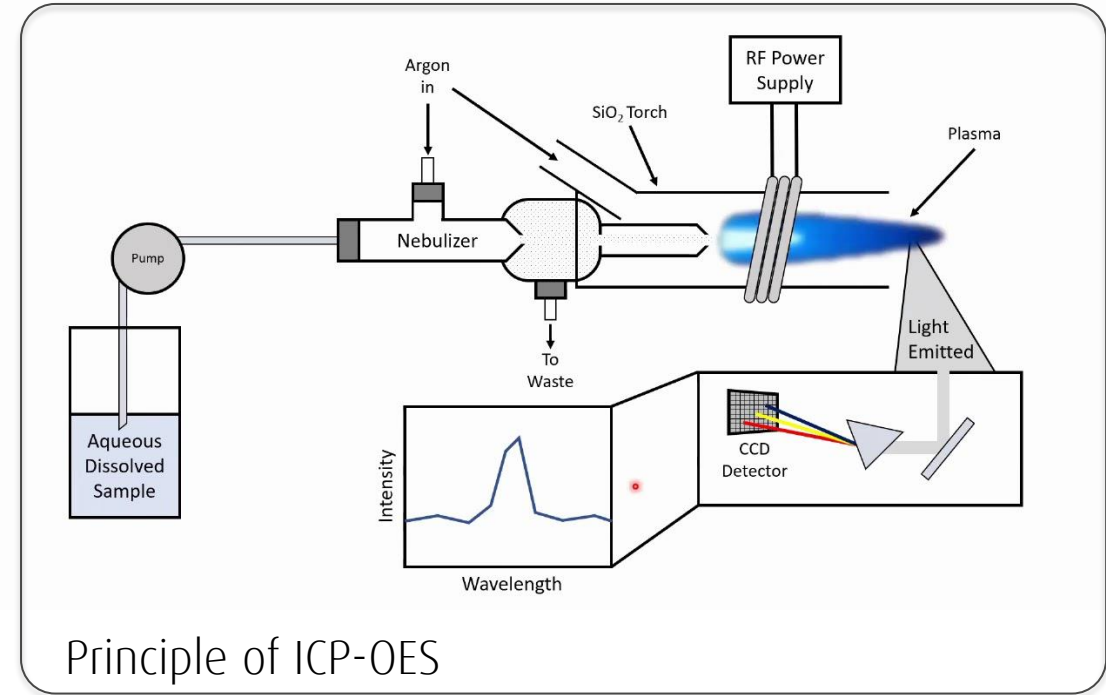


Source : <https://www.mrc-systems.de/en/products/photo-activation>

# Results and characterization

## Methodology used for ICP/OES:

- Plasma coated polycarbonate samples on approximately - 200 cm<sup>2</sup> are immersed in 100 mL of pure HPLC Water
- Samples are prepared for 12 / 24 and 48 h to maximize the release of ions in the solution
- Zinc ion concentration are then evaluated in comparison with Zn calibration done prior measurement

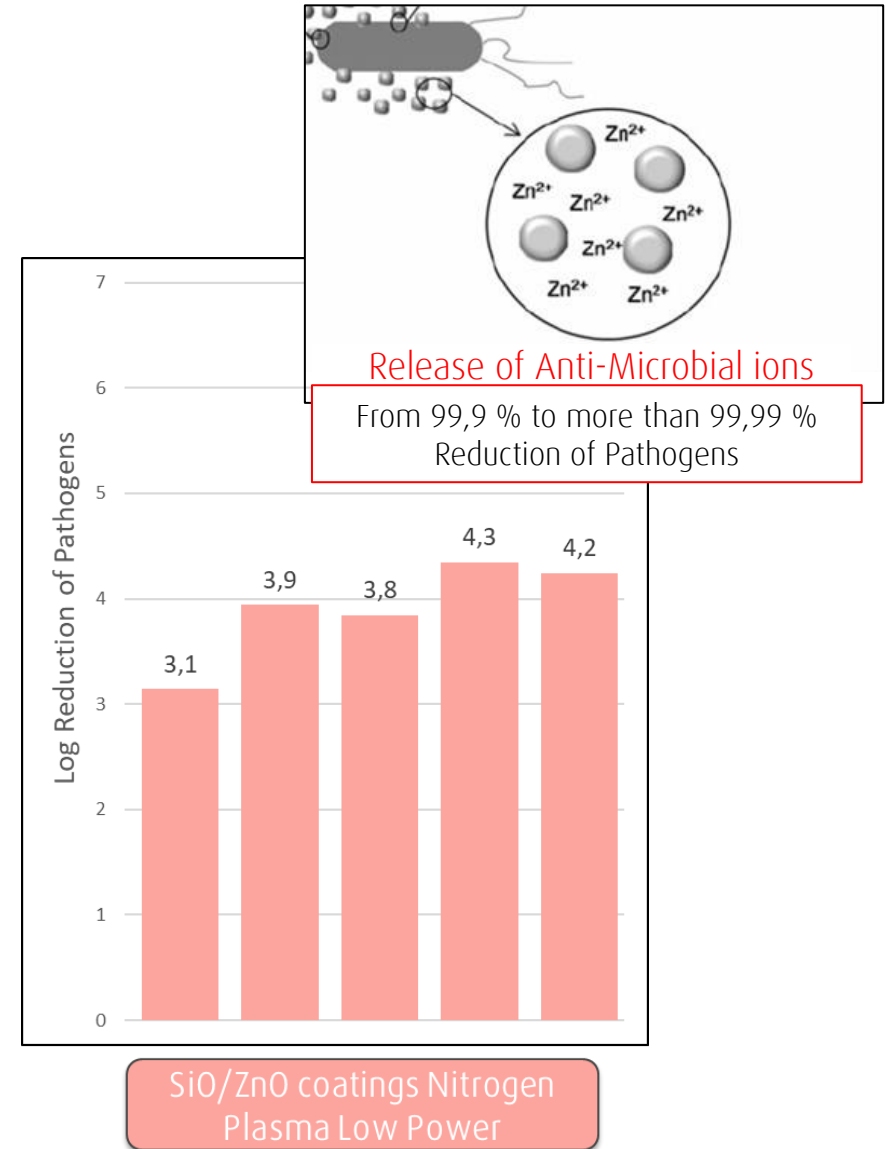


Time [h]	12	24	48
Calculated concentration [mg / l]	7.3	4.52	5.62

**ICP-OES measurement results of the coatings produced based on a 200 cm<sup>2</sup> coating area per 100 ml of solution.**

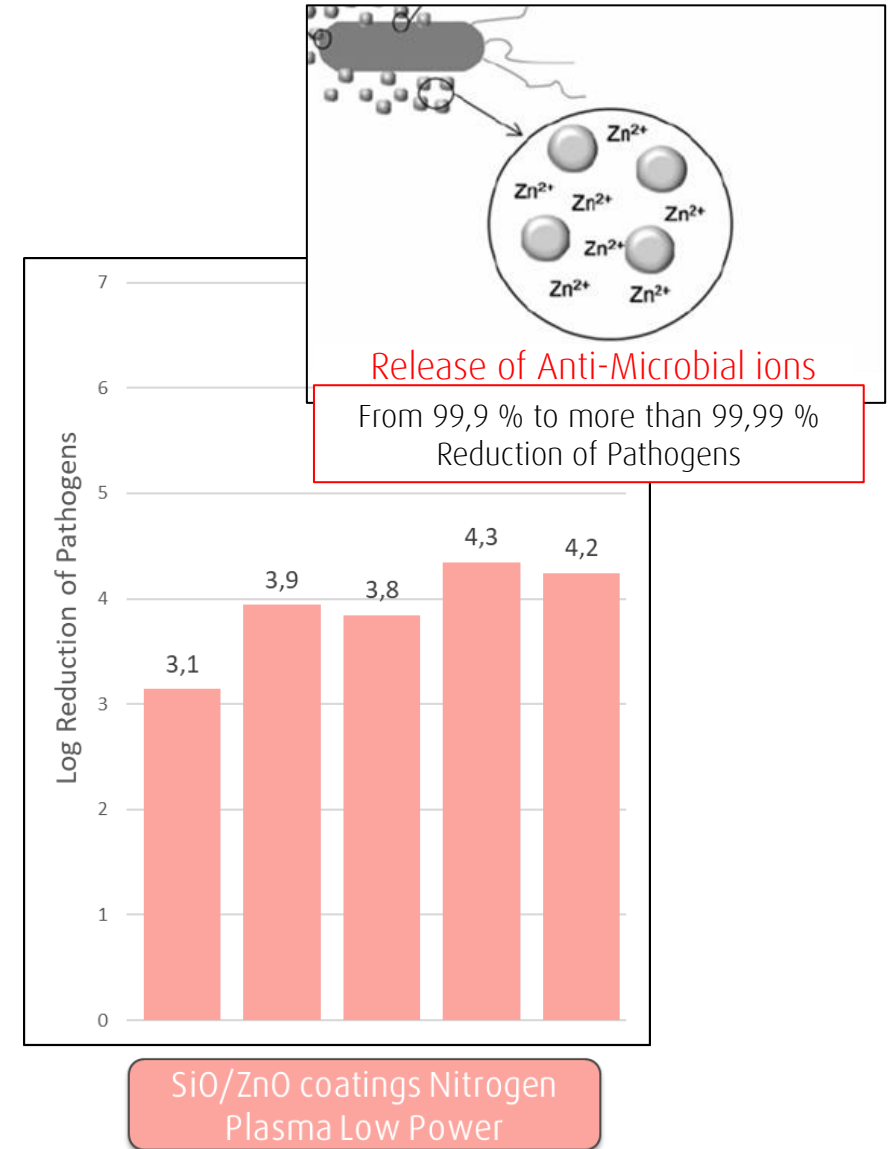
# Conclusion

- It was possible to deposit an antibacterial coating using ZnO/SiO based precursor
- Identification of the mechanism associated to the antibacterial activity due to the release of Zn<sup>2+</sup> ions.



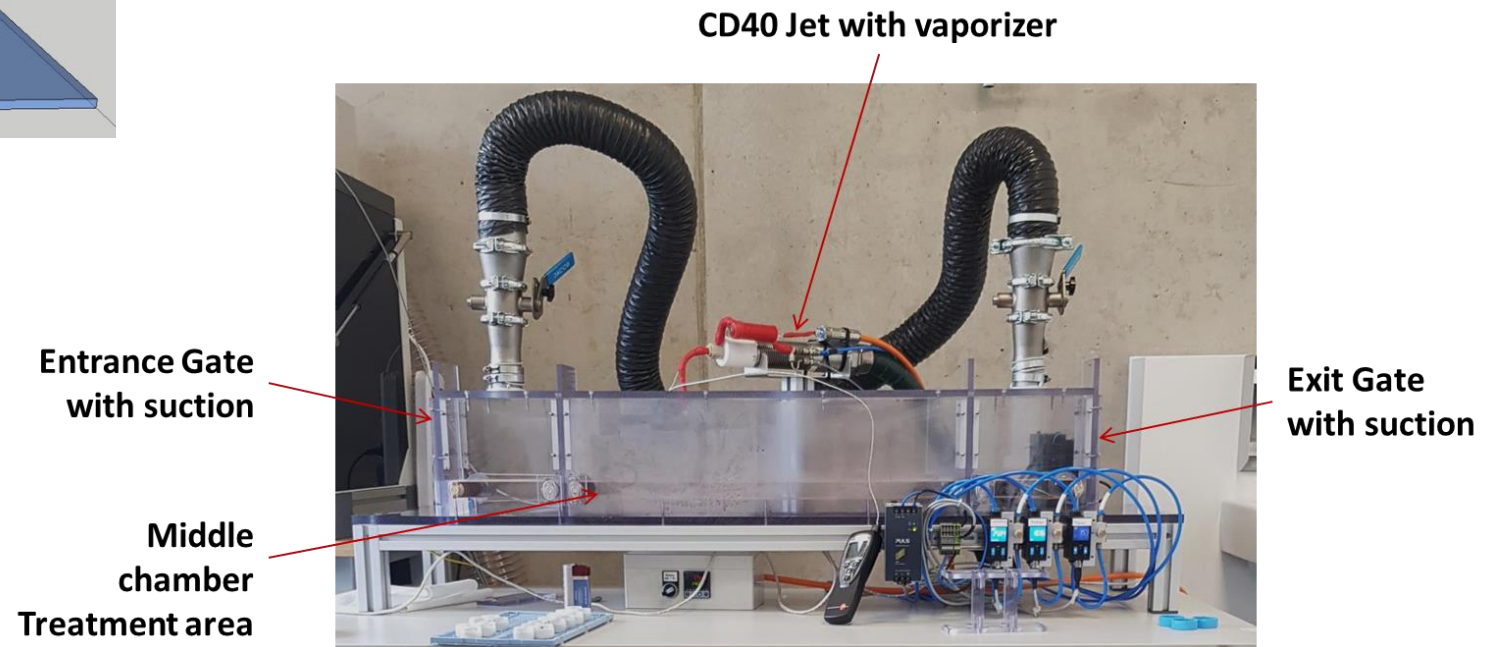
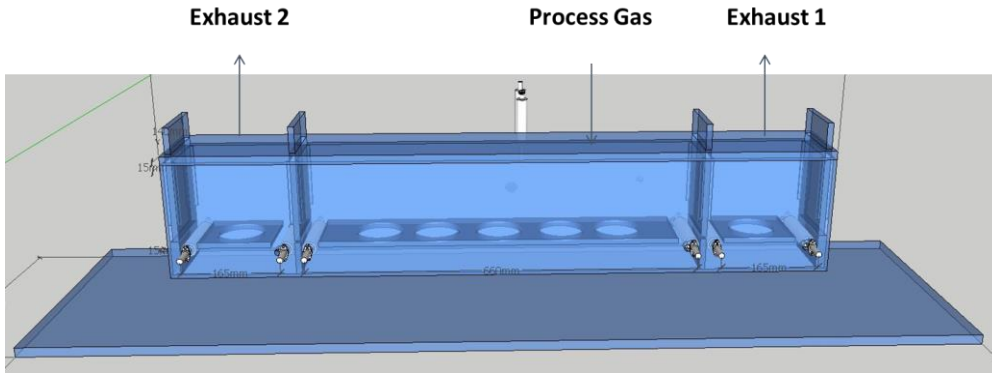
# Conclusion

- It was possible to deposit an antibacterial coating using ZnO/SiO based precursor
- Identification of the mechanism associated to the antibacterial activity due to the release of Zn<sup>2+</sup> ions.
- Further work will be focused on improving the « photocatalytic » properties of the ZnO/SiO coatings and consider thermal post treatment procedure.
- Additional work will also be focused on the development on different Metal Oxide thin films coatings from other precursors
- Coatings will be deposited on Glass and Metal more suitable substrates for thermal post treatments



# Further work

Regarding Decontamination and current situation



- Moving Belt in each chamber
- Process Gas is injected in the middle chamber



## Further work

Regarding Decontamination and current situation



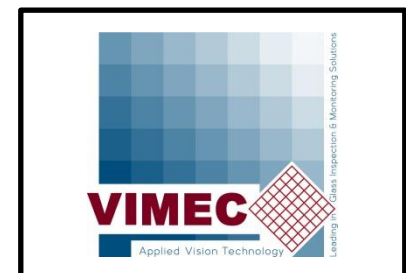
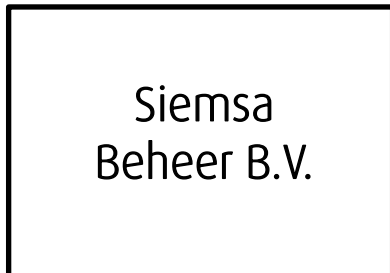
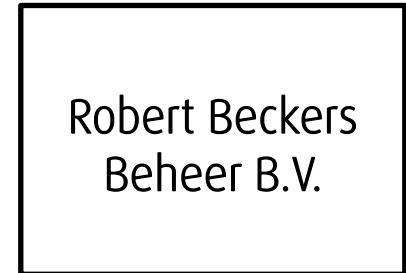
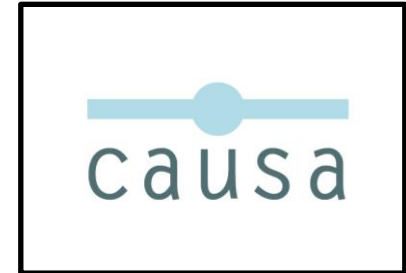
Plasmamatreat Sterilization Cabinet (PTSC580)

## Further work

Regarding Decontamination and current situation **Case study : Ventilation Tube Sterilization**



# Autoprotect – Project partners





Niedersächsische  
Staatskanzlei



provincie  
groningen



provincie limburg



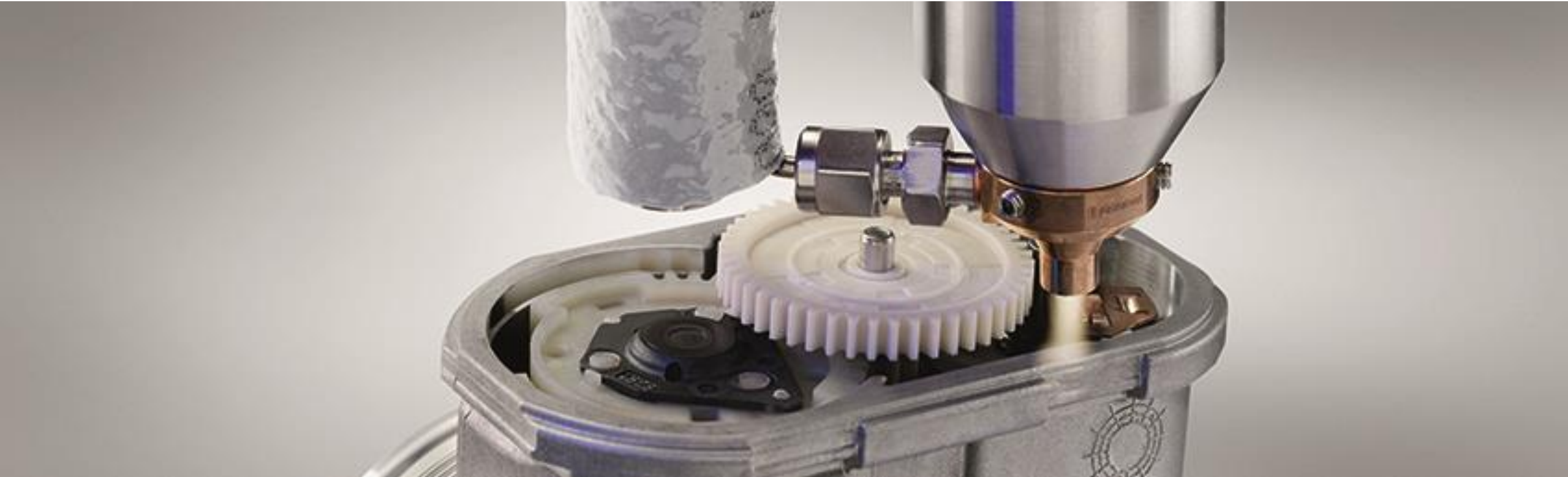
Europäische Union  
Europese Unie

Ministerium für Wirtschaft, Innovation,  
Digitalisierung und Energie  
des Landes Nordrhein-Westfalen



Ministerie van Economische Zaken  
en Klimaat

Thank you for your attention !



Dhia Ben Salem, PhD



Queller Str. 76-80 / Steinhagen / Germany



+49 5204 9960-1312



+49 5204 9960-1370



dhia.bensalem@plasmatreat.de



[www.plasmatreat.com](http://www.plasmatreat.com)

